

# Trees with 2-reinforcement number three\*

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## Abstract

A vertex subset  $S$  of a graph  $G$  is a 2-dominating set of  $G$  if every vertex not in  $S$  is adjacent to two vertices of  $S$ . The 2-domination number  $\gamma_2(G)$  is the minimum cardinality of a 2-dominating set of  $G$ . The 2-reinforcement number  $r_2(G)$  is the smallest number of extra edges whose addition to  $G$  results in a graph  $G'$  with  $\gamma_2(G') < \gamma_2(G)$ . Let  $T$  be a tree. It is showed by Lu, Hu and Xu that  $r_2(T) \leq 3$ . In this paper, we will show that  $r_2(T) = 3$  if and only if there is a 2-dominating set  $S$  of  $T$  such that  $T$  contains neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths.

**Keywords:** 2-domination, 2-reinforcement number,  $S$ -vulnerable, trees

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## 1 Introduction

For terminology and notation not defined here we refer the reader to [6, 13, 14]. Let  $G = (V(G), E(G))$  be a simple graph and  $x \in V(G)$ . The *open neighborhood*, the *closed neighborhood* and the *degree* of  $x$  are denoted by  $N_G(x) = \{y \mid xy \in E(G)\}$ ,  $N_G[x] = N_G(x) \cup \{x\}$  and  $d_T(x) = |N_G(x)|$ , respectively. A vertex of degree one is called a *leaf* and its neighbor is called a *stem*. Let  $S$  be a subset of  $V(G)$  with  $x \in S$ . A vertex  $y \in N_G(x)$  is called a *2-private neighbor* of  $x$  with respect to  $S$  if  $y \notin S$  and  $|N_G(y) \cap S| = 2$ . The *2-private neighborhood* of  $x$  with respect to  $S$ , denoted by  $N_2(x, S, G)$ , is defined as the set of 2-private neighbors of  $x$  with respect to  $S$  in  $G$ .

For any  $S \subseteq V(G)$ , the subgraph induced by  $V(G) - S$  is denoted by  $G - S$ . For  $B \subseteq E(G)$ , we use  $G - B$  to denote the subgraph with vertex set  $V(G)$  and edge set  $E(G) - B$ . To simplify notation, if  $S = \{v\}$  and  $B = \{xy\}$ , we write  $G - v$  and  $G - xy$  for  $G - \{v\}$  and  $G - \{xy\}$ , respectively.

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Let  $p$  be a positive integer. In 1985, Fink and Jacobson [11] introduced the concept of  $p$ -domination. A set  $S$  of  $V(G)$  is a  $p$ -dominating set of  $G$  if for each vertex  $x \in V(G) \setminus S$ ,  $|N_G(x) \cap S| \geq p$ . The  $p$ -domination number  $\gamma_p(G)$  is the minimum cardinality of a  $p$ -dominating set of  $G$ . A  $p$ -dominating set with cardinality  $\gamma_p(G)$  is called a  $\gamma_p(G)$ -set. Note that the  $\gamma_1(G)$ -set is the well-known minimum dominating set of  $G$ , and so  $\gamma_1(G) = \gamma(G)$ . For  $S, T \subseteq V(G)$ ,  $S$   $p$ -dominates  $T$  if  $|N_G(x) \cap S| \geq p$  for each  $x \in T \setminus S$ . Up to the present,  $p$ -domination have been studied by a number of researchers (see, for example, [1, 2, 3, 4, 7, 8, 9, 10, 12, 18, 22, 24]).

In order to investigate the vulnerability of  $p$ -domination, Lu, Hu and Xu [20] recently introduce the  $p$ -reinforcement number  $r_p(G)$  of a graph  $G$ , which is the smallest number of extra edges whose addition to  $G$  results in a graph  $G'$  with  $\gamma_p(G') < \gamma_p(G)$ . If  $\gamma_p(G) \leq p$ , they define  $r_p(G) = 0$ . Clearly, the  $p$ -reinforcement number is a generalization of the classical reinforcement number which was introduced by Kok and Mynhardt [19] and studied by some authors [5, 15, 16, 17, 25]. In [20], the authors presented an equivalent parameter for calculating  $r_p(G)$ . As applications of this parameter, they showed that the decision problem on  $r_p(G)$  is NP-hard and established some upper bounds of  $r_p(G)$ . In particular, they obtained the following result.

**Theorem 1.1** ([20])  $r_p(T) \leq p + 1$  for any tree  $T$  and  $p \geq 2$ .

In [23], Lu and Xu gave a constructive characterization of the trees attaining the upper bound in Theorem 1.1 when  $p \geq 3$ . However, for  $p = 2$ , the characterization is invalid because a key conclusion is not true. In this paper, we will present an equivalent condition for all trees with 2-reinforcement number 3. For this purpose, we introduce two additional notations.

Let  $S$  be a vertex subset of a graph  $G$ . A vertex  $x \in S$  is  $S$ -vulnerable in  $G$  if

$$|N_2(x, S, G)| \leq \min\{2, |N_G(x) \cap S|\}. \quad (1.1)$$

Let  $\ell$  be a positive integer. A path  $P = x_0x_1 \dots x_\ell$  is  $S$ -vulnerable in  $G$  if

- (1)  $S \cap V(P)$  is a 2-dominating set of  $P$ , and
- (2) for every  $x \in S \cap V(P)$ ,

$$|N_2(x, S, G) \setminus V(P)| \leq \begin{cases} \min\{1, |N_G(x) \cap S|\} & \text{if } x \in \{x_0, x_\ell\}; \\ 0 & \text{if } x \notin \{x_0, x_\ell\}. \end{cases} \quad (1.2)$$

We now state our main result as follows.

**Theorem 1.2** *Let  $T$  be a tree. Then  $r_2(T) = 3$  if and only if there exists a 2-dominating set  $S$  of  $T$  such that  $T$  contains neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths.*

In Section 2, we will give some lemmas which will be used later. The proof of Theorem 1.2 is postponed to Sections 3.

## 2 Lemmas

Let  $G$  be a graph and  $X \subseteq V(G)$  with  $|X| \geq 2$ . For any  $x \in V(G)$ , define

$$\eta_2(x, X, G) = \begin{cases} \max\{0, 2 - |N_G(x) \cap X|\} & \text{if } x \notin X; \\ 0 & \text{if } x \in X, \end{cases} \quad (2.1)$$

and then there is a subset  $B_x \subseteq E(G^c)$  with  $|B_x| = \eta_2(x, X, G)$  such that  $x$  can be 2-dominated by  $X$  in  $G + B_x$ , where  $G^c$  is the complement of  $G$ . Hence  $X$  is a 2-dominating set of  $G + (\cup_{x \in V(G)} B_x)$ . By the definition of  $r_2$ ,

$$r_2(G) \leq |\cup_{x \in V(G)} B_x| = \sum_{x \in V(G)} \eta_2(x, X, G).$$

Motivated by this inequality, Lu, Hu and Xu [20] define for any  $X, S \subseteq V(G)$ ,

$$\eta_2(S, X, G) = \sum_{x \in S} \eta_2(x, X, G) \quad (2.2)$$

and give the following two lemmas.

**Lemma 2.1** ([20]). *Let  $G$  be a graph with  $\gamma_2(G) \geq 3$ . Then*

$$r_2(G) = \min\{\eta_2(V(G), X, G) : X \subseteq V(G) \text{ with } |X| < \gamma_2(G)\}.$$

A set  $X \subseteq V(G)$  is called an  $\eta_2(G)$ -set if  $|X| < \gamma_2(G)$  and  $r_2(G) = \eta_2(V(G), X, G)$ .

**Lemma 2.2** ([20]). *Let  $G$  be a graph. If  $X$  is an  $\eta_2(G)$ -set, then  $|X| = \gamma_2(G) - 1$ .*

**Lemma 2.3** *Let  $G$  be a graph containing a path  $P = xy_1y_2y_3z$  with  $d_G(y_i) = 2$  for  $i = 1, 2, 3$ . Denote by  $G'$  the graph obtained from  $G$  by replacing  $\{y_1, y_2, y_3\}$  with a single vertex  $y$  adjacent to  $x$  and  $z$ . If  $\gamma_2(G') \geq 3$ , then  $r_2(G) \geq \min\{3, r_2(G')\}$ .*

**Proof.** Notice that  $N_{G'}(y) = \{x, z\}$ . Let  $D$  be a  $\gamma_2(G')$ -set. If  $y \notin D$ , to 2-dominate  $y$ ,  $x \in D$  and  $z \in D$ , and hence  $D \cup \{y_2\}$  is a 2-dominating set of  $G$ , which means that

$$\gamma_2(G) \leq |D \cup \{y_2\}| = |D| + 1 = \gamma_2(G') + 1. \quad (2.3)$$

If  $y \in D$ , then let  $S = (D \setminus \{y\}) \cup \{y_1, y_3\}$ . Clearly,  $|N_G(x) \cap S| = |N_{G'}(x) \cap D|$  and  $|N_G(z) \cap S| = |N_{G'}(z) \cap D|$ . It follows that  $\{x, z\}$  is 2-dominated by  $S$ . Since  $D \setminus \{y\}$  2-dominates  $V(G') \setminus \{x, y, z\}$  ( $= V(G) \setminus V(P)$ ) and  $N_G(y_2) = \{y_1, y_3\}$ ,  $S$  is a 2-dominating set of  $G$ , and thus

$$\gamma_2(G) \leq |S| = |(D \setminus \{y\}) \cup \{y_1, y_3\}| = |D| + 1 = \gamma_2(G') + 1. \quad (2.4)$$

Summing up (2.3) and (2.4), we obtain that  $\gamma_2(G) \leq \gamma_2(G') + 1$ .

In the following, we show that  $r_2(G) \geq \min\{3, r_2(G')\}$ . Suppose, to be contrary, that  $r_2(G) < \min\{3, r_2(G')\}$ , and let  $X$  be an  $\eta_2(G)$ -set such that  $|X \cap \{y_1, y_2, y_3\}|$  is as small as possible. Then Lemmas 2.1 and 2.2 yield that

$$\eta_2(V(G), X, G) = r_2(G) < \min\{3, r_2(G')\} \quad (2.5)$$

and  $|X| = \gamma_2(G) - 1$ , respectively.

If  $X \cap \{y_1, y_2, y_3\} = \emptyset$ , we obtain from (2.1) that  $\eta_2(y_1, X, G) \geq 1$  and  $\eta_2(y_2, X, G) = 2$ . By (2.2),

$$\eta_2(V(G), X, G) \geq \eta_2(y_1, X, G) + \eta_2(y_2, X, G) \geq 3.$$

This contradicts (2.5).

If  $X \cap \{y_1, y_2, y_3\} = \{y_1\}$  or  $\{y_3\}$ , without loss of generality, say  $X \cap \{y_1, y_2, y_3\} = \{y_1\}$ , then (2.1) implies that  $\eta_2(y_2, X, G) = 1$  and  $\eta_2(y_3, X, G) \geq 1$ . By (2.2) and (2.5),

$$2 \leq \eta_2(y_2, X, G) + \eta_2(y_3, X, G) \leq \eta_2(V(G), X, G) < 3,$$

which implies that  $\eta_2(y_3, X, G) = 1$  (and thus  $z \in X$  since  $N_G(y_3) = \{y_2, z\}$  and  $y_2 \notin X$ ) and  $\eta_2(V(G) \setminus \{y_2, y_3\}, X, G) = 0$ . Let  $X' = X \setminus \{y_1\}$ . Then it follows from (2.2) that

$$\eta_2(x, X, G) \leq \eta_2(V(G) \setminus \{y_2, y_3\}, X, G) = 0 \quad (2.6)$$

and

$$\begin{aligned} \eta_2(V(G') \setminus \{x, y\}, X', G') &= \eta_2(V(G) \setminus \{x, y_1, y_2, y_3\}, X, G) \\ &\leq \eta_2(V(G) \setminus \{y_2, y_3\}, X, G) \\ &= 0. \end{aligned}$$

By (2.1), (2.6) implies that either  $x \in X$  or  $|N_G(x) \cap X| \geq 2$ , and hence

$$\eta_2(x, X', G') \leq 1$$

because  $y_1 \in N_G(x) \cap X$  but  $y_1 \notin X'$ . Since  $z \in X$ ,  $z \in X'$  and so  $\eta_2(y, X', G') \leq 1$ . Recalling the facts that  $|X| = \gamma_2(G) - 1$  and  $\gamma_2(G) \leq \gamma_2(G') + 1$ , we obtain that

$$|X'| = |X| - 1 = \gamma_2(G) - 2 \leq \gamma_2(G') - 1.$$

Therefore,

$$\begin{aligned} r_2(G') &\leq \eta_2(V(G'), X', G') \quad (\text{by Lemma 2.1}) \\ &= \eta_2(y, X', G') + \eta_2(x, X', G') + \eta_2(V(G') \setminus \{x, y\}, X', G') \quad (\text{by (2.2)}) \\ &\leq 1 + 1 + 0 \\ &= \eta_2(y_2, X, G) + \eta_2(y_3, X, G) + \eta_2(V(G) \setminus \{y_2, y_3\}, X, G) \\ &= \eta_2(V(G), X, G) \quad (\text{by (2.2)}) \\ &= r_2(G). \quad (\text{since } X \text{ is an } \eta_2(G)\text{-set}) \end{aligned}$$

This also contradicts (2.5).

If  $X \cap \{y_1, y_2, y_3\} = \{y_2\}$ , let  $X' = X \setminus \{y_2\}$ , then  $|X'| = |X| - 1 = \gamma_2(G) - 2 \leq \gamma_2(G') - 1$ . Since  $d_{G'}(y) = 2$  and  $d_G(y_i) = 2$  for  $i \in \{1, 2, 3\}$ , it follows from (2.1) and (2.2) that

$$\begin{aligned} \eta_2(y, X', G') &= \eta_2(\{y_1, y_2, y_3\}, X, G) \\ \eta_2(V(G) \setminus \{y\}, X', G') &= \eta_2(V(G) \setminus \{y_1, y_2, y_3\}, X, G). \end{aligned}$$

Hence by Lemma 2.1 and (2.2),

$$\begin{aligned} r_2(G') &\leq \eta_2(V(G'), X', G') \\ &= \eta_2(\{y, X', G'\}) + \eta_2(V(G') \setminus \{y\}, X', G') \\ &= \eta_2(\{y_1, y_2, y_3\}, X, G) + \eta_2(V(G) \setminus \{y_1, y_2, y_3\}, X, G) \\ &= \eta_2(V(G), X, G) \\ &= r_2(G), \end{aligned}$$

which contradicts (2.5).

If  $|X \cap \{y_1, y_2, y_3\}| \geq 2$ , then we may assume that  $X \cap \{y_1, y_2, y_3\} = \{y_1, y_3\}$  by the choice of  $X$ . Let  $X' = (X \setminus \{y_1, y_3\}) \cup \{y\}$ . Clearly,  $|X'| = |X| - 1 = \gamma_2(G) - 2 = \gamma_2(G') - 1$  and

$$\eta_2(V(G') \setminus \{x, y, z\}, X', G') = \eta_2(V(G) \setminus V(P), X, G).$$

Since  $y \in X'$  and  $\{y_1, y_3\} \subseteq X$ , we obtain from (2.1) and (2.2) that  $\eta_2(\{x, z\}, X', G') = \eta_2(\{x, z\}, X, G)$  and  $\eta_2(y, X', G') = 0 = \eta_2(\{y_1, y_2, y_3\}, X, G)$ . By Lemma 2.1 and (2.2),

$$\begin{aligned} r_2(G') &\leq \eta_2(V(G'), X', G') \\ &= \eta_2(V(G') \setminus \{x, y, z\}, X', G') + \eta_2(\{x, z\}, X', G') + \eta_2(y, X', G') \\ &= \eta_2(V(G) \setminus V(P), X, G) + \eta_2(\{x, z\}, X, G) + \eta_2(\{y_1, y_2, y_3\}, X, G) \\ &= \eta_2(V(G), X, G) \\ &= r_2(G), \end{aligned}$$

which contradicts (2.5) again. ■

### 3 Proof of Theorem 1.2

In this section we present a proof of Theorem 1.2. Recall the statement of the theorem as follows: a tree  $T$  satisfies  $r_2(T) = 3$  if and only if there exists a 2-dominating set  $S$  of  $T$  such that  $T$  contains neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths. Let us begin with two simple observations.

**Observation 3.1** *Every 2-dominating set of a graph  $G$  contains all leaves of  $G$ .*

**Observation 3.2** *Let  $P$  be a path with length  $\ell$ . Then  $\gamma_2(P) = \lfloor (\ell + 1)/2 \rfloor + 1$ .*

**Lemma 3.3** *Let  $T$  be a tree with a  $\gamma_2(T)$ -set  $S$ . If  $T$  contains  $S$ -vulnerable vertices, then  $r_2(T) \leq 2$ .*

**Proof.** Let  $x$  be an  $S$ -vulnerable vertex in  $T$ . Then  $x \in S$ . Since  $S$  is a  $\gamma_2(T)$ -set,  $|S \setminus \{x\}| < |S| = \gamma_2(T)$  and for  $y \in N_T(x) \setminus S$ ,  $|N_T(y) \cap S| \geq 2$  with equality if and only if  $y \in N_2(x, S, T)$ . Thus for  $y \in N_T(x)$ , we can directly calculate by (2.1) that

$$\eta_2(y, S \setminus \{x\}, T) = \begin{cases} 1 & \text{if } y \in N_2(x, S, T); \\ 0 & \text{otherwise.} \end{cases} \quad (3.1)$$

Hence

$$\begin{aligned} r_2(T) &\leq \eta_2(V(T), S \setminus \{x\}, T) \quad (\text{by Lemma 2.1}) \\ &= \eta_2(x, S \setminus \{x\}, T) + \eta_2(N_T(x), S \setminus \{x\}, T) \quad (\text{since } S \text{ is a } \gamma_2(T)\text{-set}) \\ &= \eta_2(x, S \setminus \{x\}, T) + \sum_{y \in N_T(x)} \eta_2(y, S \setminus \{x\}, T) \quad (\text{by (2.2)}) \\ &= \max\{0, 2 - |N_T(x) \cap (S \setminus \{x\})|\} + |N_2(x, S, T)| \quad (\text{by (2.1) and (3.1)}) \\ &\leq \max\{0, 2 - |N_T(x) \cap S|\} + \min\{2, |N_T(x) \cap S|\} \quad (\text{by (1.1)}) \\ &= 2. \end{aligned}$$

The proof of the lemma is completed. ■

**Lemma 3.4** *Let  $T$  be a tree with a  $\gamma_2(T)$ -set  $S$ . If  $T$  contains  $S$ -vulnerable paths, then  $r_2(T) \leq 2$ .*

**Proof.** It is sufficient to consider the case that  $T$  has no  $S$ -vulnerable vertices by Lemma 3.3. Let  $P = x_0x_1 \dots x_\ell$  be a shortest  $S$ -vulnerable path in  $T$ . From the definition of  $S$ -vulnerable path, we know that  $\ell \geq 1$ ,  $S \cap V(P)$  2-dominates  $V(P)$  and every vertex in  $S \cap V(P)$  satisfies (1.2).

Suppose that there is some  $i \in \{0, 1, \dots, \ell - 1\}$  such that  $x_i \in S$  and  $x_{i+1} \in S$ . Then  $|N_T(x_i) \cap S| \geq |\{x_{i+1}\}| = 1$  and  $|N_2(x_i, S, T)| \leq 1$  by (1.2). It follows that

$$|N_2(x_i, S, T)| \leq 1 \leq \min\{2, |N_T(x_i) \cap S|\},$$

which means that  $x_i$  is  $S$ -vulnerable in  $T$ . This contradicts the assumption that  $T$  has no  $S$ -vulnerable vertices. So arbitrary two vertices in  $S \cap V(P)$  are nonadjacent in  $T$ .

If  $\ell$  is odd, since  $S \cap V(P)$  2-dominates  $V(P)$ ,  $|S \cap V(P)| \geq \gamma_2(P) = (\ell + 1)/2 + 1$  by Observation 3.2. This implies that there are two adjacent vertices in  $S \cap V(P)$ , a contradiction. Assume that  $\ell$  is even below.

Because  $S \cap V(P)$  2-dominates  $V(P)$ ,  $|S \cap V(P)| \geq \ell/2 + 1$  by Observation 3.2. Let

$$X = (S \setminus V(P)) \cup \{x_1, x_3, \dots, x_{\ell-1}\}.$$

Since  $S$  is a  $\gamma_2(T)$ -set and every vertex in  $(S \cap V(P)) \setminus \{x_0, x_\ell\}$  has no 2-private neighbor in  $V(T) \setminus V(P)$  by (1.2),  $X$  has cardinality  $|X| = |S| - |S \cap V(P)| + \ell/2 \leq |S| - 1 < \gamma_2(T)$ , and 2-dominates  $V(T) \setminus (N_T[x_0] \cup N_T[x_\ell])$ . Hence by Lemma 2.1 and (2.2),

$$\begin{aligned} r_2(T) \leq \eta_2(V(T), X, T) &= \eta_2(N_T[x_0] \cup N_T[x_\ell], X, T) \\ &\leq \eta_2(N_T[x_0], X, T) + \eta_2(N_T[x_\ell], X, T). \end{aligned} \quad (3.2)$$

We claim that  $\eta_2(N_T[x_0], X, T) \leq 1$ . Note that  $x_0 \in S \cap V(P)$  by Observation 3.1 since  $S \cap V(P)$  is a 2-dominating set of  $P$ . Because  $S \cap V(P)$  has no two adjacent vertices,  $x_1 \notin S$  and thus

$$|N_T(x_0) \cap X| = |(N_T(x_0) \cap S) \cup \{x_1\}| = |N_T(x_0) \cap S| + 1. \quad (3.3)$$

Since  $x_0 \in S \setminus X$ ,  $x_1 \in X$  and  $S$  is a  $\gamma_2(T)$ -set, we can obtain from (2.1) that for any  $y \in N_T(x_0)$ ,

$$\eta_2(y, X, T) = \begin{cases} 1 & \text{if } y \in N_2(x_0, S, T) \setminus \{x_1\}; \\ 0 & \text{otherwise.} \end{cases} \quad (3.4)$$

Therefore,

$$\begin{aligned} \eta_2(N_T[x_0], X, T) &= \eta_2(x_0, X, T) + \sum_{y \in N_T(x_0)} \eta_2(y, X, T) \quad (\text{by (2.2)}) \\ (\text{by (2.1) and (3.4)}) &= \max\{0, 2 - |N_T(x_0) \cap X|\} + |N_2(x_0, S, T) \setminus \{x_1\}| \\ (\text{by (3.3) and (1.2)}) &\leq \max\{0, 1 - |N_T(x_0) \cap S|\} + \min\{1, |N_T(x_0) \cap S|\} \\ &= 1. \end{aligned}$$

The claim is true.

By the symmetry,  $\eta_2(N_T[x_\ell], X, T) \leq 1$ . It follows from (3.2) that  $r_2(T) \leq 2$ . We complete the proof of the lemma.  $\blacksquare$

Summing up Lemmas 3.3 and 3.4, the necessity of Theorem 1.2 follows. For the sufficiency, we need the following four lemmas.

**Lemma 3.5** *Let  $T$  be a tree,  $xy \in E(T)$  and  $T_x$  the component of  $T - xy$  containing  $x$ . Let  $S \subseteq V(T)$  and  $P$  be a path in  $T_x$ . If  $S \cap V(T_x)$  is a 2-dominating set of  $T_x$  and  $P$  is  $S \cap V(T_x)$ -vulnerable in  $T_x$  but not  $S$ -vulnerable in  $T$ , then  $x \in S \cap V(P)$  and  $y \in N_2(x, S, T)$ .*

**Proof.** Because  $P$  is  $S \cap V(T_x)$ -vulnerable in  $T_x$  but not  $S$ -vulnerable in  $T$ , (1.2) implies that there is a vertex  $z \in S \cap V(P)$  such that

$$|N_2(z, S, G) \setminus V(P)| > |N_2(z, S \cap V(T_x), T_x) \setminus V(P)|. \quad (3.5)$$

In order to prove  $x \in S \cap V(P)$  and  $y \in N_2(x, S, T)$ , it suffices to show that  $z = x$ .

Assume, to be contrary, that  $z \neq x$ . Then  $N_T(z) \subseteq V(T_x)$  and so it follows from (3.5) that  $x \in N_2(z, S, T) \setminus V(P)$  but  $x \notin N_2(z, S \cap V(T_x), T_x) \setminus V(P)$ . By the definition of 2-private neighbor, we obtain that  $x \notin S$ ,  $|N_T(x) \cap S| = 2$  and  $|N_{T_x}(x) \cap (S \cap V(T_x))| \neq 2$ . Furthermore,  $|N_{T_x}(x) \cap (S \cap V(T_x))| \geq 3$  since  $S \cap V(T_x)$  is a 2-dominating set of  $T_x$ . Hence we obtain a contradiction that

$$2 = |N_T(x) \cap S| \geq |N_T(x) \cap (S \cap V(T_x))| = |N_{T_x}(x) \cap (S \cap V(T_x))| \geq 3.$$

■

**Lemma 3.6** ([21]) *Let  $S$  be a 2-dominating set of a tree  $T$ . Then  $S$  is the unique  $\gamma_2(T)$ -set if and only if, for each  $x \in S$  with  $d_T(x) \geq 2$ ,  $N_T(x) \cap S = \emptyset$  or  $|N_2(x, S, T)| \geq 2$ .*

**Lemma 3.7** ([23]) *Let  $T$  be a tree with  $r_2(T) = 3$  and  $S$  the unique  $\gamma_2(T)$ -set. For  $x \in S$  and  $y \in N_2(x, S, T)$ , denote by  $T_y$  the component of  $T - x$  containing  $y$ . If  $T_y$  is not the complete graph  $K_2$ , then  $r_2(T_y) = 1$  and  $S \cap V(T_y)$  is an  $\eta_2(T_y)$ -set.*

For  $t \geq 2$ , a *spider*  $S_t$  is a tree obtained from a star  $K_{1,t}$  by attaching one leaf at each leaf of  $K_{1,t}$ .

**Lemma 3.8** *Let  $S$  be a 2-dominating set of a tree  $T$ . If  $T$  contains neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths, then we have the following statements.*

- (a)  $S$  is the unique  $\gamma_2(T)$ -set.
- (b)  $|V(T)| \geq 7$  with equality if and only if  $T = S_3$ .
- (c)  $r_2(T) \geq 3$ .

**Proof.** (a) Let  $S'$  be the set of vertices in  $T$  with degree at least 2. If  $S' = \emptyset$ , then  $S$  is the unique  $\gamma_p(T)$ -set by Observation 3.1, and so the conclusion (a) follows. Assume now that  $S' \neq \emptyset$ , and let  $x \in S'$ . If  $x$  doesn't satisfy the second condition in Lemma 3.6, that is,  $|N_2(x, S, T)| \leq 1$ , since  $x$  is not  $S$ -vulnerable in  $T$ , it follows from (1.1) that

$$|N_T(x) \cap S| < |N_2(x, S, T)| \leq 1.$$

This fact implies that  $x$  satisfies the first condition in Lemma 3.6. By Lemma 3.6,  $S$  is the unique  $\gamma_p(T)$ -set. The conclusion (a) is true.

(b) Since  $T$  is a tree without  $S$ -vulnerable vertices or paths, we can directly check the validity of the conclusion (b), and omit the proof.

(c) Let  $|V(T)| = n$ . Then  $n \geq 7$  by (b). We prove  $r_2(T) \geq 3$  by induction on  $n$ .

If  $n = 7$ , then (b) implies that  $T$  is the spider  $S_3$ . It is not hard to determine that  $r_2(T) = 3$  by (2.1) and (2.2). This establishes the base case.

Let  $n \geq 8$ . For any tree  $T'$  with order  $n' < n$ , assume that  $r_2(T') \geq 3$  if there exists a 2-dominating set  $S'$  of  $T'$  such that  $T'$  has neither  $S'$ -vulnerable vertices nor  $S'$ -vulnerable paths.

We will now prove the following claims.

**Claim 1** *If  $T$  has a path  $xy_1y_2y_3z$  with  $d_T(y_i) = 2$  for  $i \in \{1, 2, 3\}$ , then  $r_2(T) \geq 3$ .*

*Proof.* Replacing the path  $xy_1y_2y_3z$  by a path  $xyz$ , we obtain a tree  $T'$  with order less than  $n$ . Note that  $S$  is the unique  $\gamma_2(T)$ -set by (a). Since  $d_T(y_i) = 2$  for  $i \in \{1, 2, 3\}$ ,  $S \cap \{y_1, y_2, y_3\} = \{y_2\}$  or  $\{y_1, y_3\}$ , and thus let

$$S' = \begin{cases} S \setminus \{y_2\} & \text{if } S \cap \{y_1, y_2, y_3\} = \{y_2\}; \\ (S \setminus \{y_1, y_3\}) \cup \{y\} & \text{if } S \cap \{y_1, y_2, y_3\} = \{y_1, y_3\}. \end{cases}$$

It is clear that  $S'$  is a 2-dominating set of  $T'$  because  $S$  is a  $\gamma_2(T)$ -set by (a). Moreover, for each  $v \in V(T') \setminus \{y\}$  ( $= V(T) \setminus \{y_1, y_2, y_3\}$ ),

$$|N_{T'}(v) \cap S'| = |N_T(v) \cap S|, \text{ and} \quad (3.6)$$

$$|N_2(v, S', T')| = |N_2(v, S, T)| \text{ if } v \in S'. \quad (3.7)$$

Using the condition of Lemma 3.8, we deduce from (1.1), (1.2), (3.6) and (3.7) that  $T'$  has neither  $S'$ -vulnerable vertices nor  $S'$ -vulnerable paths. By induction,  $r_2(T') \geq 3$ . It follows from Lemma 2.3 that  $r_2(T) \geq \min\{3, r_2(T')\} = 3$ .  $\square$

**Claim 2** *If  $T$  has an edge  $xy$  satisfying  $x \notin S$  and  $y \notin S$ , then  $r_2(T) \geq 3$ .*

*Proof.* Let  $T_x$  and  $T_y$  to denote the two components of  $T - xy$  containing  $x$  and  $y$ , respectively. Since  $S \cap \{x, y\} = \emptyset$ , Lemma 3.5 yields that  $T_x$  (resp.  $T_y$ ) contains no  $S \cap V(T_x)$ -vulnerable (resp.  $S \cap V(T_y)$ -vulnerable) vertices or paths. Using (a), we obtain that  $S \cap V(T_x)$  and  $S \cap V(T_y)$  are respectively the unique  $\gamma_2(T_x)$ -set and  $\gamma_2(T_y)$ -set, and so

$$\gamma_2(T_x) + \gamma_2(T_y) = |S \cap V(T_x)| + |S \cap V(T_y)| = |S| = \gamma_2(T). \quad (3.8)$$

Moreover,  $r_2(T_x) \geq 3$  and  $r_2(T_y) \geq 3$  by induction.

Let  $X$  be an  $\eta_2(T)$ -set. By Lemma 2.2 and (3.8),  $|X| = \gamma_2(T) - 1 = \gamma_2(T_x) + \gamma_2(T_y) - 1$ . Thus we may assume that

$$|X \cap V(T_x)| \leq \gamma_2(T_x) - 1.$$

Noting that  $xy$  is the unique edge of  $T$  between  $V(T_x)$  and  $V(T_y)$ , we obtain from (2.1) and (2.2) that

$$\eta_2(V(T_x), X, T) \geq \eta_2(V(T_x), X \cap V(T_x), T_x) - 1, \quad (3.9)$$

with equality if and only if  $X \cap \{x, y\} = \{y\}$  and  $|N_{T_x}(x) \cap X| < 2$ . Therefore,

$$\begin{aligned} r_2(T) &= \eta_2(V(T), X, T) \quad (\text{by Lemma 2.1}) \\ &= \eta_2(V(T_x), X, T) + \eta_2(V(T_y), X, T) \quad (\text{by (2.2)}) \\ &\geq \eta_2(V(T_x), X \cap V(T_x), T_x) - 1 \quad (\text{by (3.9)}) \\ &\geq r_2(T_x) - 1 \quad (\text{by Lemma 2.1, since } |X \cap V(T_x)| \leq \gamma_2(T_x) - 1) \\ &\geq 2. \end{aligned}$$

Suppose that  $r_2(T) = 2$ . Then the above equalities all hold. In particular,

$$\eta_2(V(T_x), X, T) = \eta_2(V(T_x), X \cap V(T_x), T_x) - 1 = r_2(T_x) - 1 = 2, \quad (3.10)$$

$$\eta_2(V(T_y), X, T) = 0. \quad (3.11)$$

(3.10) yields that  $X \cap \{x, y\} = \{y\}$  and  $r_2(T_x) = \eta_2(V(T_x), X \cap V(T_x), T_x)$ , which means that  $X \cap V(T_x)$  is an  $\eta_2(T_x)$ -set. By Lemma 2.2,  $|X \cap V(T_x)| = \gamma_2(T_x) - 1$ , and so

$$|X \cap V(T_y)| = |X| - |X \cap V(T_x)| = \gamma_2(T_y). \quad (3.12)$$

Since  $X \cap \{x, y\} = \{y\}$ , by (2.1), (2.2) and (3.11),

$$\eta_2(V(T_y), X \cap V(T_y), T_y) = \eta_2(V(T_y), X, T) = 0,$$

which implies that  $X \cap V(T_y)$  is a 2-dominating set of  $T_y$ , furthermore,  $X \cap V(T_y)$  is a  $\gamma_2(T_y)$ -set by (3.12). Since  $S \cap V(T_y)$  is the unique  $\gamma_2(T_y)$ -set,  $X \cap V(T_y) = S \cap V(T_y)$ , and so  $y \in S$ . This contradicts that  $y \notin S$ , and hence  $r_2(T) \geq 3$ .  $\square$

**Claim 3** *If  $T$  contains a vertex  $x$  not in  $S$  with  $d_T(x) \geq 3$ , then  $r_2(T) \geq 3$ .*

*Proof.* By Claim 2, we may assume that  $N_T(x) \subseteq S$  since  $x \notin S$ . Let  $N_T(x) = \{y_1, y_2, \dots, y_d\}$  and  $I = \{1, 2, \dots, d\}$ , where  $d = d_T(x) \geq 3$ . For  $i \in I$ , denote by  $T_i$  the component of  $T - x$  containing  $y_i$ , and then the order of  $T_i$  is less than  $n$ .

Let  $i \in I$ . Since  $N_T(x) \subseteq S$  and  $d \geq 3$ ,  $x \notin N_2(y_i, S, T)$ , and hence  $T_i$  contains no  $S \cap V(T_i)$ -vulnerable vertices or paths by Lemma 3.5. Noting that  $S \cap V(T_i)$  is a 2-dominating set of  $T_i$  because  $x \notin S$  and  $S$  2-dominates  $V(T)$ , we obtain by the induction on  $T_i$  that

$$r_2(T_i) \geq 3,$$

and know from (a) that  $S \cap V(T_i)$  is the unique  $\gamma_2(T_i)$ -set. Therefore by the arbitrariness of  $i$ ,

$$\sum_{i \in I} \gamma_2(T_i) = \sum_{i \in I} |S \cap V(T_i)| = |S| = \gamma_2(T).$$

We now show that  $r_2(T) \geq 3$ . Assume, to be contrary, that  $r_2(T) \leq 2$ . Let  $X$  be an  $\eta_2(T)$ -set. Then Lemma 2.1 implies that

$$|X \cap \{x\}| + \sum_{i \in I} |X \cap V(T_i)| = |X| = \gamma_2(T) - 1 = \sum_{i \in I} \gamma_2(T_i) - 1, \quad (3.13)$$

and it follows from (2.2) and Lemma 2.1 that

$$\eta_2(x, X, T) + \sum_{i \in I} \eta_2(V(T_i), X, T) = \eta_2(V(T), X, T) = r_2(T) \leq 2. \quad (3.14)$$

By (3.13), there is some  $i \in I$ , without loss of generality, say  $i = 1$ , such that  $|X \cap V(T_1)| \leq \gamma_2(T_1) - 1$ . Note the fact that, for all  $i \in I$ , if  $|X \cap V(T_i)| \leq \gamma_2(T_i) - 1$  then

$$\eta_2(V(T_i), X, T) \geq \eta_2(V(T_i), X \cap V(T_i), T_i) - 1 \geq r_2(T_i) - 1 \geq 2,$$

in which  $\eta_2(V(T_i), X, T) = 2$  if and only if  $X \cap \{x, y_i\} = \{x\}$ ,  $|N_{T_i}(y_i) \cap X| < 2$  and  $X \cap V(T_i)$  is an  $\eta_2(T_i)$ -set. From this fact and (3.14), we deduce that for any  $i \in I$ ,

$$\eta_2(V(T_i), X, T) = \begin{cases} 2 & \text{if } i = 1; \\ 0 & \text{if } i \neq 1. \end{cases}$$

It follows that

$$\begin{aligned} |X \cap V(T_1)| &= \gamma_2(T_1) - 1, \\ |X \cap \{x\}| &= 1, \text{ and} \\ |X \cap V(T_i)| &= \gamma_2(T_i) \text{ for } i \in I \setminus \{1\}. \end{aligned}$$

This contradicts (3.13). Hence  $r_2(T) \geq 3$ .  $\square$

**Claim 4** *If  $T$  has an edge  $xy$  such that  $x \in S$  and  $y \in S$ , then  $r_2(T) \geq 3$ .*

*Proof.* It is sufficient to show that  $r_2(T) \geq 3$  for a tree  $T$  not satisfying the conditions of Claims 1~3. We claim that for  $v \in V(T)$ ,

$$d_T(v) \begin{cases} = 2 & \text{if } v \notin S; \\ \neq 2 & \text{if } v \in S. \end{cases} \quad (3.15)$$

In fact, it is clear that  $d_T(x) = 2$  for all  $x \notin S$  since  $T$  doesn't satisfy the condition of Claim 3. Then  $d_T(v) = 2$  if  $v \notin S$ . On the other hand, if  $v \in S$  then assume, to be contrary, that  $d_T(v) = 2$  and let  $N_T(v) = \{u_1, u_2\}$ . If  $S \cap \{u_1, u_2\} = \emptyset$ , then both  $u_1$  and  $u_2$  have degree 2, which contradicts that  $T$  doesn't satisfy the condition of Claim 1. If  $S \cap \{u_1, u_2\} \neq \emptyset$ , then  $v$  is  $S$ -vulnerable in  $T$ , a contradiction. The claim holds.

Since  $S$  is a 2-dominating set of  $T$ , we obtain from (3.15) that all vertices of  $T$  not in  $S$  are 2-private neighbors with respect to  $S$ .

Let  $T_x$  and  $T_y$  denote the components of  $T - xy$  containing  $x$  and  $y$ , respectively. Recall that  $S$  is a 2-dominating set of  $T$  and  $T$  has neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths. Since  $x \in S$  and  $y \in S$ ,  $S \cap V(T_x)$  is a 2-dominating set of  $T_x$ , and Lemma 3.2 yields that  $T_x$  has no  $S \cap V(T_x)$ -vulnerable vertices or paths. By induction,  $r_2(T_x) \geq 3$ . Moreover,  $S \cap V(T_x)$  is the unique  $\gamma_2(T_x)$ -set by (a). By the symmetry between  $x$  and  $y$ , we also have that  $r_2(T_y) \geq 3$  and  $S \cap V(T_y)$  is the unique  $\gamma_2(T_y)$ -set. Therefore,

$$\gamma_2(T) = |S| = |S \cap V(T_x)| + |S \cap V(T_y)| = \gamma_2(T_x) + \gamma_2(T_y). \quad (3.16)$$

We now show that  $r_2(T) \geq 3$ . Assume, to be contrary, that  $r_2(T) \leq 2$ . Let  $X$  be an  $\eta_2(T)$ -set. By Lemma 2.2 and (3.16),

$$|X \cap V(T_x)| + |X \cap V(T_y)| = |X| = \gamma_2(T) - 1 = \gamma_2(T_x) + \gamma_2(T_y) - 1,$$

from which, we may assume that  $|X \cap V(T_x)| \leq \gamma_2(T_x) - 1$ , and thus  $|X \cap V(T_y)| \geq \gamma_2(T_y)$ . Noting that  $xy$  is the unique edge in  $T$  joining  $V(T_x)$  and  $V(T_y)$ , we obtain that

$$\eta_2(V(T_x), X, T) \geq \eta_2(V(T_x), X \cap V(T_x), T_x) - 1$$

with equality if and only if  $X \cap \{x, y\} = \{y\}$  and  $|N_{T_x}(x) \cap X| \leq 1$ . Hence

$$\begin{aligned}
2 \geq r_2(T) &= \eta_2(V(T), X, T) \quad (\text{since } X \text{ is an } \eta_2(T)\text{-set}) \\
&= \eta_2(V(T_x), X, T) + \eta_2(V(T_y), X, T) \quad (\text{by (2.2)}) \\
&\geq \eta_2(V(T_x), X \cap V(T_x), T_x) - 1 \\
&\geq r_2(T_x) - 1 \quad (\text{by Lemma 2.1, since } |X \cap V(T_x)| \leq \gamma_2(T_x) - 1) \\
&\geq 2,
\end{aligned}$$

which yields the following results:

$$X \cap \{x, y\} = \{y\}; \quad (3.17)$$

$$|N_{T_x}(x) \cap X| \leq 1; \quad (3.18)$$

$$r_2(T_x) = 3 \text{ and } X \cap V(T_x) \text{ is an } \eta_2(T_x)\text{-set.} \quad (3.19)$$

Let  $N_{T_x}(x) \setminus S = \{w_1, \dots, w_t\}$  and  $N_{T_x}(x) \cap S = \{w_{t+1}, \dots, w_{t+s}\}$ . For  $i \in \{1, \dots, t+s\}$ , denote by  $T_i$  the component of  $T_x - x$  containing  $w_i$ . Because  $T$  contains no  $S$ -vulnerable vertices and  $N_2(x, S, T) = N_{T_x}(x) \setminus S$ , we obtain from (1.1) that

$$t \geq \min\{2, |(N_{T_x}(x) \cap S) \cup \{y\}|\} + 1 = \min\{3, 2 + s\}. \quad (3.20)$$

Since  $\eta_2(x, X \cap V(T_x), T_x) \geq 1$  by (2.1), (3.17) and (3.18), it follows from (2.2) that

$$\begin{aligned}
\sum_{i=1}^{t+s} \eta_2(V(T_i), X \cap V(T_x), T_x) &= \eta_2(V(T_x), X \cap V(T_x), T_x) - \eta_2(x, X \cap V(T_x), T_x) \\
&\leq r_2(T_x) - 1 = 2. \quad (\text{by (3.19)})
\end{aligned} \quad (3.21)$$

We claim that  $\eta_2(V(T_i), X \cap V(T_x), T_x) \geq 1$  for each  $i \in \{1, \dots, t\}$ . To be contrary, assume that there is some  $i \in \{1, \dots, t\}$ , without loss of generality, say  $i = 1$ , such that  $\eta_2(V(T_1), X \cap V(T_x), T_x) = 0$ . Then  $X \cap V(T_1)$  is a 2-dominating set of  $T_1$  since  $x \notin X$ . Recall the obtained facts that  $r_2(T_x) = 3$  and  $S \cap V(T_x)$  is the unique  $\gamma_2(T_x)$ -set. If  $T_1$  is the complete graph  $K_2$ , then  $X \cap V(T_1) = V(T_1)$  and  $w_1$  is a stem of  $T$  (which implies that  $w_1 \notin S$ ). Otherwise the unique leaf of  $w_1$  is  $S$ -vulnerable in  $T$ . Thus

$$|X \cap V(T_1)| \geq |\{x\} \cup (S \cap V(T_1))|.$$

If  $T_1 \neq K_2$ , since  $x \in S \cap V(T_x)$  and  $w_1 \in N_2(x, S, T) = N_2(x, S \cap V(T_x), T_x)$ , Lemma 3.7 implies that  $r_2(T_1) = 1$  and  $S \cap V(T_1)$  is an  $\eta_2(T_1)$ -set. Therefore, by Lemma 2.1, we also obtain that

$$|X \cap V(T_1)| \geq \gamma_2(T_1) = 1 + (\gamma_2(T_1) - 1) = |\{x\} \cup (S \cap V(T_1))|.$$

Let  $X_1 = [(X \cap V(T_x)) \setminus (X \cap V(T_1))] \cup \{\{x\} \cup (S \cap V(T_1))\}$ . Then  $|X_1| \leq |X \cap V(T_x)| = \gamma_2(T_x) - 1$  by (3.19). Since  $\{x\} \cup (S \cap V(T_1))$  2-dominates  $V(T_1)$ ,  $\eta_2(V(T_1), X_1, T_x) = 0$  and so

$$\begin{aligned}
r_2(T_x) \leq \eta_2(V(T_x), X_1, T_x) &= \sum_{i=2}^{t+s} \eta_2(V(T_i), X_1, T_x) \quad (\text{by (2.2)}) \\
&\leq \sum_{i=2}^{t+s} \eta_2(V(T_i), X \cap V(T_x), T_x) \quad (\text{since } x \in X_1) \\
&\leq 2, \quad (\text{by (3.21)})
\end{aligned}$$

which contradicts (3.19). The claim holds.

By the above claim, (3.21) and (3.20) imply that  $t = 2$ ,  $s = 0$  and

$$\eta_2(x, X \cap V(T_x), T_x) = 1, \quad (3.22)$$

$$\eta_2(V(T_1), X \cap V(T_x), T_x) = 1 \quad (3.23)$$

$$\eta_2(V(T_2), X \cap V(T_x), T_x) = 1. \quad (3.24)$$

By (3.22), exactly one of  $w_1$  and  $w_2$  belongs to  $X$ , without loss of generality, say

$$w_1 \in X \text{ and } w_2 \notin X.$$

Notice that  $d_T(w_1) = 2$  by (3.15) since  $w_1 \notin S$ . Let  $v$  be the unique vertex in  $N_T(w_1) \setminus \{x\}$ . Since  $S$  is a 2-dominating set of  $T$ ,  $v \in S$  in order to 2-dominate  $w_1$ .

Furthermore, we will now show that  $|N_2(v, S, T)| \geq 3$ , which implies that  $v$  has at least three neighbors with degree 2 in  $T$  by (3.15). Assume, to be contrary, that  $|N_2(v, S, T)| \leq 2$ . Noting that all vertices of  $T$  not in  $S$  are 2-private neighbors with respect to  $S$ , we know that  $v$  has at most two neighbors not in  $S$ . If  $d_T(v) = 1$ , then the path  $xw_1v$  is  $S$ -vulnerable in  $T$ , a contradiction. If  $d_T(v) = 2$ , then let  $u$  be the unique vertex in  $N_T(v) \setminus \{w_1\}$ . From the assumption that  $T$  doesn't satisfy the condition of Claim 1, it follows that  $d_T(u) \neq 2$  because  $d_T(w_1) = d_T(v) = 2$ , and thus  $u \in S$  by (3.15). Hence  $v$  is  $S$ -vulnerable in  $T$ , a contradiction. If  $d_T(v) \geq 3$ , then

$$|N_T(v) \cap S| = |N_T(v)| - |N_2(v, S, T)| = d_T(v) - |N_2(v, S, T)| \geq 1,$$

that is,  $v$  has at least one neighbor in  $S$ , and so the path  $xw_1v$  is also  $S$ -vulnerable in  $T$ , a contradiction.

To the end, we will show that there is a vertex subset  $X_2 \subseteq V(T_x)$  such that  $|X_2| = |X \cap V(T_x)|$  and  $\eta_2(V(T_x), X_2, T_x) < 3$ . This contradicts (3.19), and hence  $r_2(T) \geq 3$ .

If  $v \in X$ , then let

$$X_2 = [(X \cap V(T_x)) \setminus \{w_1\}] \cup \{x\}.$$

Clearly,  $|X_2| = |X \cap V(T_x)|$  because  $w_1 \in X \cap V(T_x)$ . Since  $N_{T_x}(w_1) = \{x, v\} \subseteq X_2$ , it follows from (2.1) that  $\eta_2(x, X_2, T_x) = \eta_2(w_1, X_2, T_x) = 0$ . Therefore, by (2.1) and (2.2),

$$\begin{aligned} \eta_2(V(T_x), X_2, T_x) &= \eta_2(x, X_2, T_x) + \eta_2(V(T_1), X_2, T_x) + \eta_2(V(T_2), X_2, T_x) \\ &\leq \eta_2(V(T_1), X \cap V(T_x), T_x) + \eta_2(V(T_2), X \cap V(T_x), T_x) \\ &= 2. \quad (\text{by (3.23) and (3.24)}) \end{aligned}$$

Since  $|X_2| = |X \cap V(T_x)|$ , by (3.19) and Lemma 2.1, we obtain a contradiction that

$$3 = r_2(T_x) \leq \eta_2(V(T_x), X_2, T_x) \leq 2.$$

If  $v \notin X$ , since  $|N_2(v, S, T)| \geq 3$ , (3.23) implies that there is a vertex  $u \in N_2(v, S, T) \setminus \{w_1\}$  such that

$$\eta_2(V(T_u), X \cap V(T_x), T_x) = 0, \quad (3.25)$$

where  $T_u$  is the component of  $T - v$  containing  $u$ . Since  $v \notin X$ , (3.25) implies that  $X \cap V(T_u)$  is a 2-dominating set of  $T_u$  and so  $|X \cap V(T_u)| \geq \gamma_2(T_u)$ . Let

$$X_2 = [(X \cap V(T_x)) \setminus ((X \cap V(T_u)) \cup \{w_1\})] \cup \{x, v\} \cup (S \cap V(T_u)).$$

Recall the obtained facts that  $d_T(u) = 2$  (by (3.15) since  $u \notin S$ ),  $r_2(T_x) = 3$  and  $S \cap V(T_x)$  is the unique  $\gamma_2(T_x)$ -set. If  $T_u = K_2$ , then the unique vertex in  $V(T_u) \setminus \{u\}$  belongs to  $S \cap V(T_u)$  by Observation 3.1, and so  $\eta_2(V(T_u), X_2, T_x) = 0$  and

$$|X \cap V(T_u)| = |V(T_u)| = |\{v\} \cup (S \cap V(T_u))|,$$

from which we obtain that  $|X_2| = |X \cap V(T_x)|$ . If  $T_u \neq K_2$ , since  $u \in N_2(v, S, T) = N_2(v, S \cap V(T_x), T_x)$ , Lemma 3.7 implies that  $r_2(T_u) = 1$  and  $S \cap V(T_u)$  is an  $\eta_2(T_u)$ -set, and so

$$|X \cap V(T_u)| \geq \gamma_2(T_u) = 1 + (\gamma_2(T_u) - 1) = |\{v\}| + |S \cap V(T_u)| = |\{v\} \cup (S \cap V(T_u))|$$

and  $\{v\} \cup (S \cap V(T_u))$  2-dominates  $V(T_u)$ . Therefore,

$$|X_2| = |X \cap V(T_x)| \text{ and } \eta_2(V(T_u), X_2, T_x) = 0.$$

Since  $N_{T_x}(w_1) = \{x, v\} \subseteq X_2$ , it follows from (2.1) and (2.2) that  $\eta_2(x, X_2, T_x) = \eta_2(w_1, X_2, T_x) = \eta_2(v, X_2, T_x) = 0$  and

$$\begin{aligned} & \eta_2(V(T_x), X_2, T_x) \\ &= \eta_2(V(T_1) \setminus (V(T_u) \cup \{w_1, v\}), X_2, T_x) + \eta_2(V(T_2), X_2, T_x) \\ &\leq \eta_2(V(T_1) \setminus (V(T_u) \cup \{w_1, v\}), X \cap V(T_x), T_x) + \eta_2(V(T_2), X \cap V(T_x), T_x) \\ &\leq \eta_2(V(T_1), X \cap V(T_x), T_x) + \eta_2(V(T_2), X \cap V(T_x), T_x) \\ &= 2. \quad (\text{by (3.23) and (3.24)}) \end{aligned}$$

Since  $|X_2| = |X \cap V(T_x)|$ , (3.19) and Lemma 2.1 yield a contradiction that

$$3 = r_2(T_x) \leq \eta_2(V(T_x), X_2, T_x) \leq 2.$$

The proof of Claim 4 is complete.  $\square$

We now return to the proof of Lemma 3.8 (c). In the following, assume that  $T$  is a tree not satisfying the conditions of Claims 1~4. Since  $S$  is 2-dominating set of  $T$ , for any  $x \in V(T)$ , we obtain from this assumption that

$$d_T(x) = 2, \quad \text{if } x \notin S, \quad (3.26)$$

$$d_T(x) = |N_T(x)| = |N_2(x, S, T)| \neq 2, \quad \text{if } x \in S. \quad (3.27)$$

Moreover, every stem in  $T$  has exact one leaf because  $T$  has neither  $S$ -vulnerable vertices nor  $S$ -vulnerable paths.

Let  $v$  be a stem and  $u$  the unique leaf of  $v$ . Since  $S$  is a 2-dominating set of  $T$ ,  $u \in S$  by Observation 3.1 and  $v \notin S$  (otherwise,  $u$  is  $S$ -vulnerable in  $T$ ). By (3.26),  $d_T(v) = 2$ . Denote by  $w$  the unique vertex in  $N_T(v) \setminus \{u\}$ . Then  $N_T(v) = \{u, w\}$ . Since  $v \notin S$ , to 2-dominate  $v$ ,  $|S \cap N_T(v)| \geq 2$ , which implies that  $w \in S$ .

Let  $T' = T - \{u, v\}$ . Then  $S \cap V(T')$  is a 2-dominating set of  $T'$  because  $w \in S$  and  $S$  is a 2-dominating set of  $T$ .

We claim that  $T'$  has neither  $S \cap V(T')$ -vulnerable vertices nor  $S \cap V(T')$ -vulnerable paths. It is clear that  $d_T(w) \neq 1$ . Since  $w \in S$ , it follows from (3.27) that  $d_T(w) = |N_2(w, S, T)| \geq 3$ , and thus

$$d_T(w) - 1 = d_{T'}(w) = |N_2(w, S \cap V(T'), T')| = |N_2(w, S, T) \setminus \{v\}| \geq 2. \quad (3.28)$$

By (1.1), (3.28) implies that  $w$  is not  $S \cap V(T')$ -vulnerable in  $T'$ , furthermore,  $T'$  contains no  $S \cap V(T')$ -vulnerable vertices. Assume that  $T'$  has an  $S \cap V(T')$ -vulnerable path  $P$ . Then  $S \cap V(P)$  2-dominates  $V(P)$  by the definition of  $S \cap V(T')$ -vulnerable path, and  $w \in S \cap V(P)$  by Lemma 3.5. Noting that every vertex in  $S \cap V(P)$  has at most two 2-private neighbors with respect to  $S \cap V(T')$  by (1.2), we obtain from (3.28) that

$$d_T(w) - 1 = d_{T'}(w) = |N_2(w, S \cap V(T'), T')| = 2. \quad (3.29)$$

In addition, for each  $x \in (S \cap V(P)) \setminus \{w\}$ , (3.27) implies that

$$N_{T'}(x) = N_T(x) = N_2(x, S, T) = N_2(x, S \cap V(T'), T'), \text{ and} \quad (3.30)$$

$$|N_{T'}(x)| = |N_T(x)| \neq 2. \quad (3.31)$$

Let  $y_1$  and  $y_2$  be two end-vertices of  $P$ . Because  $S \cap V(P)$  2-dominates  $V(P)$ , we have  $y_1 \in S \cap V(P)$  and  $y_2 \in S \cap V(P)$  by Observation 3.1. Noting that every vertex in  $S \cap V(P)$  has at most two 2-private neighbors with respect to  $S \cap V(T')$  by (1.2), we deduce from (3.26) and (3.30) that for each  $x \in V(P) \setminus \{w\}$ ,

$$d_T(x) = d_{T'}(x) = \begin{cases} 2 & \text{if } x \in V(P) \setminus S; \\ 1 & \text{if } x \in \{y_1, y_2\}; \\ 2 & \text{if } x \in (S \cap V(P)) \setminus \{y_1, y_2\}, \end{cases}$$

from which and (3.31) we obtain that  $S \cap V(P) = \{y_1, w, y_2\}$  and  $V(P) \setminus S = N_{T'}(w)$ . By (3.29),  $T$  is a spider  $S_3$  and  $n = |V(T)| = |V(S_3)| = 7$ , which contradicts that  $n \geq 8$ . The claim holds.

By (a),  $S \cap V(T')$  is the unique  $\gamma_2(T')$ -set. By induction,  $r_2(T') \geq 3$ . Since  $S$  is a  $\gamma_2(T)$ -set by (a),

$$\gamma_2(T') = |S \cap V(T')| = |S \setminus \{w\}| = |S| - 1 = \gamma_2(T) - 1. \quad (3.32)$$

We now show that  $r_2(T) \geq 3$ . Let  $X$  be an  $\eta_2(T)$ -set such that  $|X \cap \{u, v\}|$  is as small as possible. Then  $|X \cap \{u, v\}| \leq 1$ . If  $|X \cap \{u, v\}| = 0$ , by (2.1) and (2.2), we have  $\eta_2(\{u, v\}, X, T) \geq 3$  and it follows from Lemma 2.1 that

$$r_2(T) = \eta_2(V(T), X, T) \geq \eta_2(\{u, v\}, X, T) \geq 3.$$

If  $|X \cap \{u, v\}| = 1$ , then Lemma 2.2 and (3.32) imply that

$$|X \cap V(T')| = |X| - 1 = (\gamma_2(T) - 1) - 1 = \gamma_2(T') - 1.$$

Note that the edge  $vw$  is the unique edge linking  $\{u, v\}$  to  $V(T')$ . When  $X \cap \{u, v\} = \{u\}$ , we have  $\eta_2(V(T'), X, T) = \eta_2(V(T'), X \cap V(T'), T')$ , and thus obtain from Lemm 2.1 and (2.2) that

$$\begin{aligned} r_2(T) = \eta_2(V(T), X, T) &\geq \eta_2(V(T'), X, T) \\ &= \eta_2(V(T'), X \cap V(T'), T') \geq r_2(T') \geq 3. \end{aligned}$$

When  $X \cap \{u, v\} = \{v\}$ , we directly calculate by (2.1) and (2.2) that  $\eta_2(\{u, v\}, X, T) = 1$  and  $\eta_2(V(T'), X, T) \geq \eta_2(V(T'), X \cap V(T'), T') - 1$ . Therefore,

$$\begin{aligned} r_2(T) &= \eta_2(V(T), X, T) \quad (\text{by Lemma 2.1}) \\ &= \eta_2(\{u, v\}, X, T) + \eta_2(V(T'), X, T) \quad (\text{by (2.2)}) \\ &\geq 1 + [\eta_2(V(T'), X \cap V(T'), T') - 1] \\ &\geq r_2(T') \quad (\text{by Lemma 2.1, since } |X \cap V(T')| < \gamma_2(T')) \\ &\geq 3. \end{aligned}$$

This complete the proof of Lemma 3.8 (c). ■

Applying Theorem 1.1 and Lemma 3.8 (c), the sufficiency of Theorem 1.2 is true, and so Theorem 1.2 holds.

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