

# On the third largest number of maximal independent sets of graphs\*

Shuchao Li<sup>†</sup>, Huihui Zhang

Faculty of Mathematics and Statistics, Central China Normal University, Wuhan 430079, P.R. China

**Abstract:** A maximal independent set is an independent set that is not a proper subset of any other independent set. In this paper, we determine the third largest number of maximal independent sets among all graphs of order  $n \geq 3$  and identify the corresponding extremal graphs.

**Keywords:** Maximal independent set; Extremal graph

AMS subject classification: 05C69; 05C05

## 1. Introduction

Given a graph  $G = (V_G, E_G)$ , a set  $I \subseteq V_G$  is *independent* if there is no edge of  $G$  between any two vertices of  $I$ . A *maximal independent set* is an independent set that is not a proper subset of any other independent set. The dual of an independent set is a clique, in the sense that clique corresponds to an independent set in the complement graph. The set of all maximal independent sets of a graph  $G$  is denoted by  $\text{MI}(G)$  and its cardinality by  $\text{mi}(G)$ .

Given a simple graph  $G = (V_G, E_G)$ , the cardinality of  $V_G$  is called the *order* of  $G$ .  $G - v$  denotes the graph obtained from  $G$  by deleting vertex  $v \in V_G$  (this notation is naturally extended if more than one vertex is deleted). For  $v \in V_G$ , let  $N_G(v)$  (or  $N(v)$  for short) denote the set of all the adjacent vertices of  $v$  in  $G$  and  $d(v) = |N_G(v)|$ , the degree of  $v$  in  $G$ . In particular, let  $\Delta(G) = \max\{d(x) | x \in V_G\}$  and  $\delta(G) = \min\{d(x) | x \in V_G\}$ . For convenience, let  $N_G[x] = \{x\} \cup N_G(x)$ . A *leaf* of  $G$  is a vertex of degree one. For any two graphs  $G$  and  $H$ , let  $G \uplus H$  denote the disjoint union of  $G$  and  $H$ , and for any nonnegative integer  $t$ , let  $tG$  stand for the disjoint union of  $t$  copies of  $G$ . For a connected graph  $H$  with maximum degree vertex  $x$  and a graph  $G = G_1 \uplus G_2 \uplus \cdots \uplus G_k$  with  $u_i$  being the maximum degree vertex in  $G_i$ ,  $i = 1, 2, \dots, k$ , define the graph  $H * G$  to be the graph with vertex set  $V_{H * G} = V_H \cup V_G$  and edge set  $E_{H * G} = E_H \cup E_G \cup \{xu_i : i = 1, 2, \dots, k\}$ . Throughout the text we denote by  $P_n, C_n, K_n$  and  $K_{1, n-1}$  the path, cycle, complete graph and star on  $n$  vertices, respectively.

Further on we need the following lemmas.

**Lemma 1.1** ([7]). *For any vertex  $v$  in a graph  $G$ , the followings hold.*

- (i)  $\text{mi}(G) \leq \text{mi}(G - v) + \text{mi}(G - N_G[v])$ ;
- (ii) *If  $v$  is a leaf adjacent to  $u$ , then  $\text{mi}(G) = \text{mi}(G - N_G[v]) + \text{mi}(G - N_G[u])$ .*

**Lemma 1.2** ([5]). *If  $n \geq 6$ , then  $\text{mi}(C_n) = \text{mi}(C_{n-2}) + \text{mi}(C_{n-3})$ .*

**Lemma 1.3** ([7]). *If  $G = G_1 \uplus G_2$ , then  $\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2)$ .*

For  $n \geq 2$ , let  $G(n), H(n)$  be two  $n$ -vertex graphs defined as

$$G(n) = \begin{cases} sK_3, & \text{if } n = 3s; \\ K_4 \uplus (s-1)K_3, \text{ or } 2K_2 \uplus (s-1)K_3, & \text{if } n = 3s+1; \\ K_2 \uplus sK_3, & \text{if } n = 3s+2 \end{cases}$$

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<sup>†</sup>E-mail: lscmath@mail.ccnu.edu.cn (S.C. Li), zhanghuihui2011@126.com (H.H. Zhang)

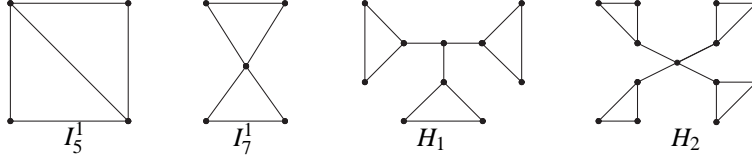


Figure 1: Graphs  $I_5^1, I_7^1, H_1$  and  $H_2$ .

and

$$H(n) = \begin{cases} 2K_1, & \text{if } n = 2; \\ P_3, \text{ or } K_2 \uplus K_1, & \text{if } n = 3; \\ I_5^1, P_4, K_3 * K_1, \text{ or } K_3 \uplus K_1, & \text{if } n = 4; \\ C_5, K_5, K_3 * K_2, \text{ or } I_7^1, & \text{if } n = 5; \\ (K_3 * K_3) \uplus (s-2)K_3, 3K_2 \uplus (s-2)K_3, \text{ or } K_4 \uplus K_2 \uplus (s-2)K_3, & \text{if } n = 3s \geq 6; \\ (K_3 * K_4) \uplus (s-2)K_3, & \text{if } n = 3s+1 \geq 7; \\ (K_3 * K_3) \uplus K_2 \uplus (s-2)K_3, 4K_2 \uplus (s-2)K_3, 2K_4 \uplus (s-2)K_3, \text{ or } K_4 \uplus 2K_2 \uplus (s-2)K_3, & \text{if } n = 3s+2 \geq 8, \end{cases}$$

where  $I_5^1$  and  $I_7^1$  are depicted in Fig. 1. By Lemma 1.3, it is routine to check that

$$g(n) := \text{mi}(G(n)) = \begin{cases} 3^s, & \text{if } n = 3s; \\ 4 \cdot 3^{s-1}, & \text{if } n = 3s+1; \\ 2 \cdot 3^s, & \text{if } n = 3s+2 \end{cases} \quad \text{and} \quad h(n) := \text{mi}(H(n)) = \begin{cases} 1, & \text{if } n = 2; \\ 2, & \text{if } n = 3; \\ 3, & \text{if } n = 4; \\ 5, & \text{if } n = 5; \\ \frac{11}{12}g(n), & \text{if } n = 3s+1 \geq 6; \\ \frac{8}{9}g(n), & \text{otherwise.} \end{cases}$$

**Theorem 1.4** ([6]). *If  $G$  is a graph with  $n \geq 2$  vertices, then  $\text{mi}(G) \leq g(n)$  with the equality holding if and only if  $G \cong G(n)$ .*

**Theorem 1.5** ([3, 4]). *If  $G$  is a graph with  $n$  vertices and  $G \not\cong G(n)$ , then  $\text{mi}(G) \leq h(n)$  with the equality holding if and only if  $G \cong H(n)$ .*

Further on, let  $I(n), I'(n)$  be two  $n$ -vertex graphs ( $n \geq 8$ ) defined, respectively, as

$$I(n) = \begin{cases} K_3 * (K_3 \uplus K_3) \uplus (s-3)K_3, \text{ or } (K_4 * K_3) \uplus K_2 \uplus (s-3)K_3, & \text{if } n = 3s; \\ K_4 \uplus (K_3 * K_3) \uplus (s-3)K_3, K_4 \uplus 3K_2 \uplus (s-3)K_3, 2K_4 \uplus K_2 \uplus (s-3)K_3, \\ (K_3 * K_3) \uplus 2K_2 \uplus (s-3)K_3, \text{ or } 5K_2 \uplus (s-3)K_3, & \text{if } n = 3s+1; \\ (K_4 * K_4) \uplus (s-2)K_3, (K_3 * K_2) \uplus (s-1)K_3, K_5 \uplus (s-1)K_3, C_5 \uplus (s-1)K_3, \text{ or } I_7^1 \uplus (s-1)K_3, & \text{if } n = 3s+2 \end{cases}$$

and

$$I'(n) = \begin{cases} H_1 \cup K_2 \cup (s-4)K_3, & \text{if } n = 3s; \\ H_2 \cup (s-4)K_3, & \text{if } n = 3s+1; \\ H_1 \cup 2K_2 \cup (s-4)K_3, & \text{if } n = 3s+2, \end{cases}$$

where  $I_7^1, H_1$  and  $H_2$  are depicted in Fig. 1.

Set  $i(n) = \text{mi}(I(n))$  and  $i'(n) = \text{mi}(I'(n))$ . By Lemma 1.3, it is easy to obtain that

$$i(n) = \begin{cases} \frac{22}{27}g(n), & \text{if } n = 3s; \\ \frac{8}{9}g(n), & \text{if } n = 3s+1; \\ \frac{5}{6}g(n), & \text{if } n = 3s+2 \end{cases} \quad \text{and} \quad i'(n) = \begin{cases} \frac{3}{4}g(n), & \text{if } n = 3s+1; \\ \frac{2}{3}g(n), & \text{otherwise.} \end{cases} \quad (1.1)$$

Note that Hua and Hou [1] obtained that  $i'(n) = \frac{97}{108}g(n)$  if  $n = 3s+1$  and  $\frac{70}{81}g(n)$  otherwise, which is not correct by direct calculation. It is easy to see

$$i'(n) < i(n). \quad (1.2)$$

( $\diamond$ ) ([Theorem 3.1, 1]) If  $G$  is a graph with  $n \geq 3$  vertices and  $G \not\cong G(n), H(n)$ , then

$$\text{mi}(G) \leq \begin{cases} \frac{97}{108}g(n), & \text{if } n = 3s + 1; \\ \frac{70}{81}g(n), & \text{otherwise.} \end{cases} \quad (1.3)$$

Furthermore, each of the equalities in (1.3) holds if and only if  $G \cong I'(n)$ .

Note that  $I(n) \not\cong G(n), H(n)$ , hence in view of (1.2), Theorem 3.1 in [1] is not true. The following result characterizes the third largest number of maximal independent sets of  $n$ -vertex graphs ( $n \geq 3$ ), the corresponding extremal graphs are identified.

**Theorem 1.6.** Let  $G$  be an  $n$ -vertex graph with  $n \geq 3$ .

(i) If  $G \not\cong G(n), H(n)$  with  $3 \leq n \leq 10$ , then  $G$  is the graph with the third largest number of maximal independent set if and only if  $G \in I''(n)$ , where

$$I''(n) = \begin{cases} 3K_1, & \text{if } n = 3; \\ 2K_1 \uplus K_2, K_1 \uplus P_3, K_{1,3}, \text{ or } C_4, & \text{if } n = 4; \\ K_1 \uplus 2K_2, K_1 \uplus K_4, K_2 \uplus P_3, P_5, K_4 * K_1, I_5^1 * K_1, I_5^2, I_5^3, I_5^4, \text{ or } I_5^5, & \text{if } n = 5; \\ K_4 * K_2, I_6^1, I_6^2, I_6^3, \text{ or } I_6^4, & \text{if } n = 6; \\ K_5 \uplus K_2, C_5 \uplus K_2, (K_3 * K_2) \uplus K_2, I_7^1 \uplus K_2, I_7^2, I_7^3, I_7^4, \text{ or } I_7^5, & \text{if } n = 7; \\ K_4 * K_4, (K_3 * K_2) \uplus K_3, K_5 \uplus K_3, C_5 \uplus K_3, \text{ or } I_7^1 \uplus K_3, & \text{if } n = 8; \\ K_3 * (K_3 \uplus K_3), \text{ or } (K_4 * K_3) \uplus K_2, & \text{if } n = 9; \\ K_4 \uplus (K_3 * K_3), K_4 \uplus 3K_2, 2K_4 \uplus K_2, (K_3 * K_3) \uplus 2K_2, \text{ or } 5K_2, & \text{if } n = 10. \end{cases}$$

where  $I_5^2, I_5^3, I_5^4, I_5^5, I_6^1, I_6^2, I_6^3, I_6^4, I_7^1, I_7^2, I_7^3, I_7^4$  and  $I_7^5$  are depicted in Fig. 2.

(ii) If  $G \not\cong G(n), H(n)$  with  $n \geq 8$ , then  $\text{mi}(G) \leq i(n)$  with equality if and only if  $G \cong I(n)$ .

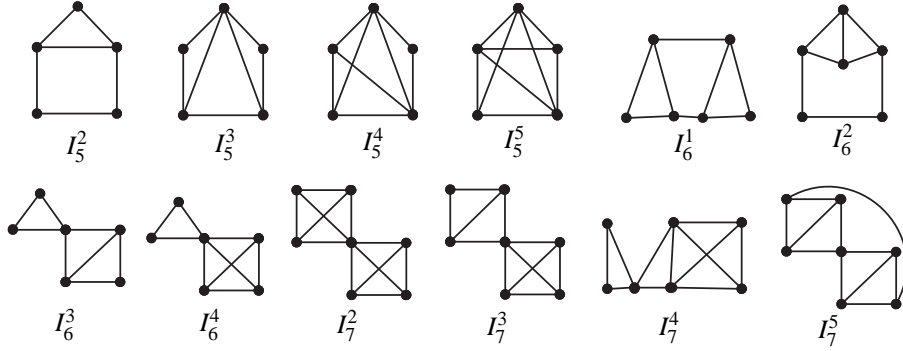


Figure 2: Graphs  $I_5^2, I_5^3, I_5^4, I_5^5, I_6^1, I_6^2, I_6^3, I_6^4, I_7^1, I_7^2, I_7^3, I_7^4$  and  $I_7^5$ .

## 2. Proof of Theorem 1.6

We show Theorem 1.6 according to the following two possible cases.

**Case 1.**  $3 \leq n \leq 10$ .

It is straightforward to check that  $I''(n) \not\cong G(n), H(n)$  and  $\text{mi}(I''(n)) = h(n) - 1$  if  $n = 3, 4, 5, 6, 7, 8, 10$  and  $\text{mi}(I''(9)) = h(9) - 2$ . Suppose  $G \not\cong G(n), H(n)$  is a graph of order  $n$ ,  $3 \leq n \leq 10$ , such that  $\text{mi}(G)$  is as large as possible. By Theorem 1.5, we have that  $h(n) - 1 = \text{mi}(I''(n)) \leq \text{mi}(G) \leq h(n) - 1$  for  $n = 3, 4, 5, 6, 7, 8, 10$ . Hence,  $\text{mi}(G) = h(n) - 1$ . For  $n = 9$ , by Theorem 1.5, we have that  $h(9) - 2 = \text{mi}(I''(9)) \leq \text{mi}(G) \leq h(9) - 1$ , thus  $\text{mi}(G) = h(9) - 2$ , or  $h(9) - 1$ . If  $n = 3$ , note that  $g(3) = 3$ , hence we get just one extremal graph  $3K_1$ . In the following, assume  $n \geq 4$  and prove our results according to the following four subcases.

**Subcase 1.1.**  $\delta(G) = 0$ .

In this subcase, we take a vertex  $x \in V_G$  such that  $d(x) = 0$ . Thus, we get  $\text{mi}(G) = \text{mi}(G - x)$ .

If  $n = 4$ , note that  $g(4) = 4$ , thus  $\text{mi}(G) = \text{mi}(G - x) = 2$  and  $|V_{G-x}| = 3$ . Hence, we obtain that  $G - x \cong P_3$  or  $K_2 \uplus K_1$ , i.e.,  $G \cong P_3 \uplus K_1$  or  $K_2 \uplus 2K_1$ .

If  $n = 5$ , note that  $g(5) = 6$ , thus  $\text{mi}(G) = \text{mi}(G - x) = 4$  and  $|V_{G-x}| = 4$ . Hence, by Theorem 1.4, we have  $G - x \cong K_4$  or  $2K_2$ , which is equivalent to  $G \cong K_4 \uplus K_1$  or  $2K_2 \uplus K_1$ .

If  $6 \leq n \leq 7$ , then, on the one hand,  $\text{mi}(G) = \text{mi}(G - x) = h(n) - 1$ ; on the other hand, by Theorem 1.4, we get  $\text{mi}(G - x) \leq g(n - 1)$ . Thus, we get  $g(n) - 2 \leq g(n - 1)$ . But, in fact  $6 = g(5) < h(6) - 1 = 7$  and  $9 = g(6) < h(7) - 1 = 10$ , a contradiction.

If  $n = 8$ , then by Theorem 1.4,  $\text{mi}(G) = \text{mi}(G - x)$  and  $\text{mi}(G - x) \leq g(7) = 12$ . Hence,  $\text{mi}(G) \leq 12 < 15 = h(8) - 1$ , this is a contradiction. Similarly, we can also get a contradiction, respectively, for  $n = 9, 10$ , which is omitted here.

**Subcase 1.2.**  $\delta(G) = 1$ .

In this subcase, we take a vertex  $x \in V_G$  such that  $d(x) = 1$  and  $xy \in E_G$ . Let  $G_1 = G - x - y$ . Note that  $G - N[y]$  is a subgraph of  $G_1$ , then  $1 \leq \text{mi}(G - N[y]) \leq \text{mi}(G_1)$ .

First consider  $G_1 \cong G(n - 2)$ . If  $n = 3s$  ( $s = 2, 3$ ), then we obtain that  $G_1 \cong K_4 \uplus (s - 2)K_3$  or  $2K_2 \uplus (s - 2)K_3$ . If  $G - N[y] \cong K_4 \uplus (s - 2)K_3$  or  $2K_2 \uplus (s - 2)K_3$ , then  $G \cong H(n)$ , a contradiction. So  $G - N[y]$  is a proper subgraph of  $K_4 \uplus (s - 2)K_3$ , i.e.  $G - N[y]$  is a subgraph  $(s - 1)K_3$ ,  $K_4 \uplus K_2 \uplus (s - 3)K_3$ , or  $K_1 \uplus K_2 \uplus (s - 2)K_3$ . By a simple calculation, we have  $\text{mi}(G - N[y]) \leq \max\{3^{s-1}, 8 \cdot 3^{s-3}, 2 \cdot 3^{s-3}\} = 3^{s-1}$ . By Lemma 1.1(ii), we have  $\text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 4 \cdot 3^{s-2} + 3^{s-1} = 7 \cdot 3^{s-2}$ , the equality holds if and only if  $G - N[y] \cong (s - 3)K_3$ . Note that  $\text{mi}(G) = 7$  for  $n = 6$  and  $\text{mi}(G) > 21$  for  $n = 9$ . In conclusion,  $n = 6$ ,  $G \cong K_4 * K_2$ .

If  $n = 3s + 1$  ( $s = 1, 2, 3$ ), then we obtain that  $G_1 \cong K_2 \uplus (s - 1)K_3$ . If  $G - N[y] \cong K_2 \uplus (s - 1)K_3$ , then  $G \cong G(n)$ , a contradiction. So  $G - N[y]$  is a proper subgraph of  $K_2 \uplus (s - 1)K_3$ , i.e.  $G - N[y]$  is a subgraph  $K_1 \uplus (s - 1)K_3$  or  $2K_2 \uplus (s - 2)K_3$ . By a simple calculation, we have  $1 \leq \text{mi}(G - N[y]) \leq \max\{3^{s-1}, 4 \cdot 3^{s-2}\} = 4 \cdot 3^{s-2}$ . By Lemma 1.1(ii), we have

$$3 \leq 2 \cdot 3^{s-1} + 1 \leq \text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 2 \cdot 3^{s-1} + 4 \cdot 3^{s-2} = 10 \cdot 3^{s-2},$$

the equality holds if and only if  $G - N[y] \cong 2K_2 \uplus (s - 2)K_3$ . Note that  $\text{mi}(G) = h(n) - 1$  holds for  $n = 4, 7, 10$ . In conclusion,  $n = 7$  and  $G \cong (K_3 * K_2) \uplus K_2$ .

If  $n = 3s + 2$  ( $s = 1, 2$ ), then we obtain that  $G_1 \cong sK_3$ . There are two such graphs  $K_4 * K_1$ ,  $I_5^1 * K_1$  for  $n = 5$ . By a simple calculation, we get  $K_4 * K_1$  and  $I_5^1 * K_1$  are extremal graphs. In the following, we consider  $n = 8$ . If  $G - N[y] \cong sK_3$ , then  $G \cong G(n)$ , a contradiction. Hence,  $G - N[y]$  is a proper subgraph of  $sK_3$ , i.e.  $G - N[y]$  is a subgraph  $K_1 \uplus (s - 1)K_3$  or  $K_2 \uplus (s - 1)K_3$ . By a simple calculation, we have  $1 \leq \text{mi}(G - N[y]) \leq \max\{3^{s-1}, 2 \cdot 3^{s-1}\} = 2 \cdot 3^{s-1}$ . By Lemma 1.1(ii), we have  $\text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 3^s + 2 \cdot 3^{s-1} = 5 \cdot 3^{s-1}$ , the equality holds if and only if  $G - N[y] \cong K_2 \uplus (s - 1)K_3$ . Note that  $\text{mi}(G) = 15$  for  $n = 8$ . In conclusion,  $n = 8$  and  $G \cong (K_3 * K_2) \uplus K_3$ .

Next consider  $G_1 \cong H(n - 2)$ . If  $n = 4$ , it is easy to get that  $G_1 \cong 2K_1$ . As  $\delta(G) = 1$ , we obtain that  $G \cong K_{1,3}$ . For  $n \geq 5$ , note that  $G - N[y]$  is a subgraph of  $G_1$ , we have  $\text{mi}(G - N[y]) \leq \text{mi}(G_1) = h(n - 2)$ . By Lemma 1.1(ii) and Theorem 1.5, we have  $\text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 2h(n - 2)$ , the equality holds if and only if  $G - N[y] \cong H(n - 2)$ . Note that  $\text{mi}(G) = 2$  for  $n = 4$  and  $h(4 - 2) = h(4 - 4) = 1$ , we get extremal graph  $K_{1,3}$ . Note that  $\text{mi}(G) = h(n) - 1$  holds for  $n = 5, 6, 7, 8, 10$  and  $\text{mi}(G) \geq h(n) - 2$  holds for  $n = 9$ . In conclusion, we also get extremal graphs  $K_2 \uplus K_3$ ,  $K_5 \uplus K_2$ ,  $C_5 \uplus K_2$ ,  $(K_3 * K_2) \uplus K_2$ ,  $I_7^1 \uplus K_2$ ,  $(K_4 * K_3) \uplus K_2$ ,  $K_4 \uplus 3K_2$ ,  $2K_4 \uplus K_2$ ,  $(K_3 * K_3) \uplus 2K_2$ ,  $5K_2$ .

Now consider  $G_1 \not\cong G(n - 2)$ ,  $H(n - 2)$ . By Theorem 1.5, we have  $\text{mi}(G_1) = 1$  for  $n = 4$  and  $\text{mi}(G_1) \leq h(n - 2) - 1$  for  $5 \leq n \leq 10$ . By Lemma 1.1(ii) and Theorem 1.5, we have  $\text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 2h(n - 2) - 2 < h(n) - 1$  for  $n = 5, 6, 7, 8, 10$  and  $\text{mi}(G) = \text{mi}(G_1) + \text{mi}(G - N[y]) \leq 2h(7) - 2 < h(9) - 2$  for  $n = 9$ . Thus there does not exist extremal graph in this subcase.

**Subcase 1.3.**  $\delta(G) = 2$  and  $\Delta(G) = 2$ .

In this subcase,  $G \cong C_n$ . By direct calculation,  $\text{mi}(C_4) = 2$ ,  $\text{mi}(C_5) = 5 > 4$ ,  $\text{mi}(C_6) = 5 < 7$ ,  $\text{mi}(C_7) = 7 < 10$ ,  $\text{mi}(C_8) = 10 < 15$ ,  $\text{mi}(C_9) = 12 < 22$ ,  $\text{mi}(C_{10}) = 17 < 32$ . Hence, we get the extremal graphs  $C_4$  and  $C_5 \uplus K_3$ .

**Subcase 1.4.**  $\delta(G) \geq 2$  and  $\Delta(G) \geq 3$ .

In this subcase, we take a vertex  $x \in V_G$  such that  $d(x) = \Delta(G) \geq 3$ . Let  $G_2 = G - N[x]$ . If  $n = 4$ , it is routine to check that  $G \cong I_5^1$  since  $G \not\cong K_4$ , i.e.,  $\text{mi}(G) = 3$ , a contradiction. In the following, assume that  $n \geq 5$ .

First consider  $\Delta(G) = 3$  according to the following subcases.

- $n = 5$ . In this subcase we have  $g(5) = 6$  and  $G_2 = K_1$ , hence  $3 \leq \text{mi}(G-x) \leq g(n-1) = 4$ , i.e.,  $G-x \cong K_4, 2K_2, P_4, I_5^1, K_3 \uplus K_1$  or  $K_3 * K_1$ . Thus, we get  $G \cong I_5^2$ .
- $n = 6$ . In this subcase, we have  $G_2 \cong 2K_1$  or  $K_2$ . If  $G_2 \cong 2K_1$ , then  $6 = 7 - 1 \leq \text{mi}(G-x) \leq g(n-1) = 6$ , i.e.,  $\text{mi}(G-x) = 6$ , i.e.,  $G-x \cong K_3 \uplus K_2$ . But there is no such graph. If  $G_2 \cong K_2$ , then  $5 = 7 - 2 \leq \text{mi}(G-x) \leq g(n-1) = 6$ , i.e.,  $\text{mi}(G-x) = 5$  or  $6$ , which is equivalent to  $G-x \cong K_3 \uplus K_2, C_5, K_5, K_3 * K_2$ , or  $I_7^1$ . Thus, we get  $G \cong I_6^1$  or  $I_6^2$ .
- $n = 7$ . In this subcase, we have  $G_2 \cong K_3, P_3, K_2 \uplus K_1$  or  $3K_1$ . If  $G_2 \cong K_3$ , note that  $\text{mi}(G) = 10$  and  $G \not\cong G(n), H(n)$ , hence there is no such graph. If  $G_2 \cong P_3$  or  $K_2 \uplus K_1$ , then  $\text{mi}(G_2) = 2$  and  $8 = 10 - 2 \leq \text{mi}(G-x) \leq g(n-1) = 9$ , i.e.,  $\text{mi}(G-x) = 8$  or  $9$ , which is equivalent to  $G-x \cong 2K_3, K_3 * K_3, 3K_2$  or  $K_4 \uplus K_2$ . Thus, we get the only graph  $G$ , but  $\text{mi}(G) = 9 < 10$ , this is a contradiction. If  $G_2 \cong 3K_1$ , then  $9 = 10 - 1 \leq \text{mi}(G-x) \leq g(n-1) = 9$ , i.e.,  $\text{mi}(G-x) = 9$ , i.e.,  $G-x \cong 2K_3$ . But such graph does not exist.
- $n = 8$ . Note that  $G-x \not\cong H(7) = K_4 * K_3$  since  $\Delta(G) = 3$ . If  $G-x \cong G(7) = K_4 \uplus K_3$ , or  $2K_2 \uplus K_3$ , as  $\Delta(G) = 3$ , we get  $G \cong 2K_4 = H(8)$ , which is a contradiction. If  $G-x \not\cong G(7), H(7)$ , by Theorem 1.5, we get  $\text{mi}(G-x) \leq h(7) - 1 = 10$ . Note that  $|V_{G_2}| = 8 - 4 = 4$ , by Theorem 1.4, we have  $\text{mi}(G_2) \leq g(4) = 4$ . By Lemma 1.1(i), we obtain that  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G_2) \leq 10 + 4 = 14 < 15$ , a contradiction.
- $n = 9$ . If  $G-x \cong G(8) = K_2 \uplus 2K_3$ , observe that  $\Delta(G) = 3$ , then we get  $G \cong (K_3 * K_3) \uplus K_3 = H(9)$ , a contradiction. If  $G-x \cong H(8)$ , note that  $\Delta(G) = 3$ , we get  $G-x \cong (K_3 * K_3) \uplus K_2$ , i.e.,  $G \cong W_0$ ; see Fig. 3. By direct calculation,  $\text{mi}(G) = 21 < 22 = h(9) - 2$ , a contradiction. If  $G-x \not\cong G(8), H(8)$ , by Theorem 1.5, we get  $\text{mi}(G-x) \leq h(8) - 1 = 15$ . Note that  $|V_{G_2}| = 9 - 4 = 5$ , by Theorem 1.4, we have  $\text{mi}(G_2) \leq g(5) = 6$ . By Lemma 1.1(i), we obtain that  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G_2) \leq 15 + 6 = 21 < 22 = h(9) - 2$ , a contradiction.

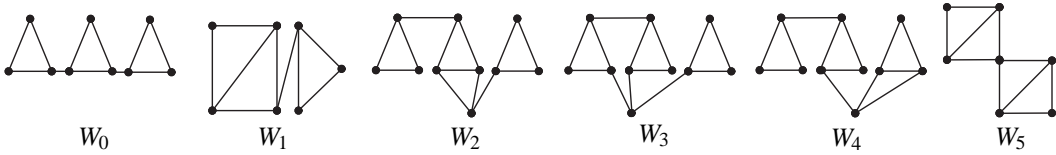


Figure 3: Graphs  $W_0, W_1, W_2, W_3, W_4$  and  $W_5$ .

- $n = 10$ . If  $G-x \cong G(9) = 3K_3$ , then it is routine to check that  $G \cong K_1 * 3K_3$  or  $W_1 \uplus K_3$  directly, where  $W_1$  is depicted in Fig. 3. By elementary calculation,  $\text{mi}(G) = 27 < 32$ , a contradiction. If  $G-x \cong H(9)$ , observe that  $\Delta(G) = 3$ , we get  $G-x \cong (K_3 * K_3) \uplus K_3$  or  $K_4 \uplus K_3 \uplus K_2$ , which implies  $G$  must be isomorphic to  $W_2, W_3, W_4$  (see Fig. 3) or  $(K_3 * K_3) \uplus K_4$ . By direct calculation,  $\text{mi}(W_2) = 26 < 32$ ,  $\text{mi}(W_3) = \text{mi}(W_4) = 24 < 32$ ,  $\text{mi}((K_3 * K_3) \uplus K_4) = 32$ . Thus, we get the extremal graph  $(K_3 * K_3) \uplus K_4$ , as desired. If  $G-x \not\cong G(9), H(9)$ , by Theorem 1.5, we get  $\text{mi}(G-x) \leq h(9) - 1 = 23$ . In this subcase, note that  $|V_{G-N[x]}| = 6$ , hence if  $G-N[x] \not\cong G(6)$  for some vertex  $x$  with  $d(x) = 3$ , then by Theorem 1.4, we have  $\text{mi}(G-N[x]) \leq g(6) - 1 = 8$ . Thus, by Lemma 1.1(i), we get  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G_2) \leq 23 + 8 = 31 < 32 = h(10) - 1$ , a contradiction. If, for any vertex  $x$  of degree 3, satisfying  $G-N[x] \cong G(6)$ , then there is only one such graph  $(K_1 * 2K_3) \uplus K_3$ . By direct computing,  $\text{mi}((K_1 * 2K_3) \uplus K_3) = 27 < 32$ , a contradiction.

Next consider  $\Delta(G) = 4$ .

If  $n = 5$ , then we get  $G$  is a connected graph. By elementary calculation, we obtain extremal graphs  $I_5^3, I_5^4$ , or  $I_5^5$ , as desired.

If  $n = 6$ , then we have  $G_2 = K_1$  and  $6 = 7 - 1 \leq \text{mi}(G-x) \leq g(n-1) = 6$ , i.e.,  $\text{mi}(G-x) = 6$ , i.e.,  $G-x \cong K_3 \uplus K_2$ . Thus, we get the extremal graph  $I_6^3$ .

If  $n = 7$ , we have  $G_2 \cong 2K_1$  or  $K_2$ . If  $G_2 \cong 2K_1$ , then  $9 = 10 - 1 \leq \text{mi}(G-x) \leq g(n-1) = 9$ . Hence,  $\text{mi}(G-x) = 9$ , i.e.,  $G-x \cong 2K_3$ . Thus, we get the only graph  $W_5$  (see Fig. 3) with  $\text{mi}(W_5) = 9 < 10$ , a contradiction. If  $G_2 \cong K_2$ , then  $8 = 10 - 2 \leq \text{mi}(G-x) \leq g(n-1) = 9$ , which implies  $\text{mi}(G-x) = 8$  or  $9$ , i.e.,  $G-x \cong 2K_3, K_3 * K_3, K_4 \uplus K_2$ , or  $3K_2$ . Thus, we get the extremal graphs  $I_7^4$  and  $I_7^5$ .

If  $n = 8$ , note that  $|V_{G_2}| = 3$ , by Theorem 1.4, we have  $\text{mi}(G_2) \leq g(3) = 3$ . If  $G-x \cong G(7) = K_4 \uplus K_3$ , or  $2K_2 \uplus K_3$ , then  $\text{mi}(G-x) = 12$ . Thus, by Lemma 1.1(i),  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G_2) \leq 12 + 3 = 15$ , the equality holds if and only if  $G_2 \cong K_3$ , i.e.,  $G \cong K_4 * K_4, K_5 \uplus K_3$  or  $I_7^1 \uplus K_3$ . If  $G-x \not\cong G(7)$ , then by Theorem 1.5, we have  $\text{mi}(G-x) \leq h(7) = 11$ . Thus, by Lemma 1.1(i),  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G_2) \leq 11 + 3 = 14 < 15$ , a contradiction.

Similarly, we can show, when  $\Delta(G) = 4$ ,  $G \cong K_3 * 2K_3$  if  $n = 9$ ; whereas  $G$  does not exist if  $n = 10$ , which is omitted here.

Now consider  $\Delta(G) \geq 5$ . In this case,  $n \geq 6$  and  $|V_{G_2}| \leq n - 6$ . At first we consider  $n = 6, 7$  with  $\Delta(G) = 5$ . If  $n = 6$ , we get  $6 = 7 - 1 \leq \text{mi}(G - x) \leq g(n - 1) = 6$ , i.e.,  $\text{mi}(G - x) = 6$ , i.e.,  $G - x \cong K_3 \uplus K_2$ , which implies  $G \cong I_6^4$ . If  $n = 7$ , we get  $\text{mi}(G_2) = 1$  and  $9 = 10 - 1 \leq \text{mi}(G - x) \leq g(n - 1) = 9$ , i.e.,  $\text{mi}(G - x) = 9$ , i.e.,  $G - x \cong 2K_3$ , which implies  $G \cong I_7^3$ .

If  $\Delta(G) = 6$ , then we have  $n = 7$ . By Theorem 1.4,  $9 = 10 - 1 \leq \text{mi}(G - x) \leq g(n - 1) = 9$ , hence  $\text{mi}(G - x) = 9$ , which implies  $G - x \cong 2K_3$ . Thus, we get extremal graph  $I_7^2$ .

Now we consider  $n = |V_G| = 8, 9, 10$  for  $\Delta(G) \geq 5$ .

If  $n = 8$ , then by Lemma 1.1(i) and Theorem 1.4, we have  $\text{mi}(G) \leq \text{mi}(G - x) + \text{mi}(G_2) \leq g(7) + g(2) = 14 < 15 = h(8) - 1$ , a contradiction. Similarly, we can also get a contradiction, respectively, for  $n = 9, 10$ , which is omitted here.

This completes the proof of Theorem 1.6(i).

**Case 2.**  $n \geq 8$ .

In this case, it is easy to see that  $I(n) \not\cong G(n), H(n)$  and  $\text{mi}(I(n)) = i(n)$  for  $n \geq 8$ . We prove it by induction on  $n$ . For  $n = 8, 9, 10$ , in view of (i), our result holds. In what follows, we consider  $n \geq 11$  and assume our result holds for  $n - 1$ . We proceed to show our result holds for  $n$ . We first show the following two claims.

**Claim 1.** *If  $G$  is a connected graph with  $\delta(G) = 1$ , then  $\text{mi}(G) < i(n)$ .*

*Proof.* Note that  $\delta(G) = 1$ , hence we take a leaf, say  $x$ , of  $G$ . Let  $y \in N_G(x)$ , then  $d(y) \geq 2$ . Thus, by Lemma 1.1(ii) and Theorem 1.4, we have  $\text{mi}(G) = \text{mi}(G - N[x]) + \text{mi}(G - N[y]) \leq g(n - 2) + g(n - 3)$ .

•  $n = 3s$ . In this case,  $g(n - 2) = 4 \cdot 3^{s-2}$ ,  $g(n - 3) = 3^{s-1}$ . Then we have  $\text{mi}(G) \leq g(n - 2) + g(n - 3) = 4 \cdot 3^{s-2} + 3^{s-1} = 7 \cdot 3^{s-2} = \frac{7}{9}g(n) < \frac{22}{27}g(n) = i(n)$ .

•  $n = 3s + 1$ . In this case,  $g(n - 2) = 2 \cdot 3^{s-1}$ ,  $g(n - 3) = 4 \cdot 3^{s-2}$ . Then we have  $\text{mi}(G) \leq g(n - 2) + g(n - 3) = 2 \cdot 3^{s-1} + 4 \cdot 3^{s-2} = 10 \cdot 3^{s-2} = \frac{5}{9}g(n) < \frac{8}{9}g(n) = i(n)$ .

•  $n = 3s + 2$ . In this case,  $g(n - 2) = 3^s$ ,  $g(n - 3) = 2 \cdot 3^{s-1}$ . Then we have  $\text{mi}(G) = \text{mi}(G - N[x]) + \text{mi}(G - N[y]) \leq g(n - 2) + g(n - 3) = 3^s + 2 \cdot 3^{s-1} = 5 \cdot 3^{s-1} = \frac{5}{6}g(n) = i(n)$ . Thus  $\text{mi}(G) = \frac{5}{6}g(n)$  if and only if  $G - N[x] \cong G(n - 2)$  and  $G - N[y] \cong G(n - 3)$ , which implies  $G \cong K_3 * K_2$  and  $n = 5$ . Obviously, this is a contradiction for  $n \geq 11$ . Therefore,  $\text{mi}(G) < \frac{5}{6}g(n)$  if  $n \geq 11$ .

This completes the proof of Claim 1. □

**Claim 2.** *If  $G \cong C_n$  with  $n \geq 8$ , then  $\text{mi}(G) < i(n)$ .*

*Proof.* For  $n \geq 8$ , we have

$$\text{mi}(C_n) = \text{mi}(C_{n-2}) + \text{mi}(C_{n-3}) \leq \begin{cases} \frac{19}{27}g(n), & \text{if } n = 3s; \\ \frac{3}{4}g(n), & \text{if } n = 3s + 1; \\ \frac{20}{27}g(n), & \text{if } n = 3s + 2. \end{cases}$$

The last inequality follows from [4]. Hence, in view of the expression of  $i(n)$  in (1.1) we have  $\text{mi}(C_n) < i(n)$ . This completes the proof of Claim 2. □

Now we come back to the proof of Theorem 1.6(ii). It suffices to show the following three subcases.

**Subcase 2.1.**  $n = 3s$ .

Firstly, we consider that  $G$  is disconnected. Obviously, we can always find two vertex-disjoint graphs  $G_1$  and  $G_2$  such that  $G = G_1 \uplus G_2$ , where  $|V_{G_1}| = n_1$ ,  $|V_{G_2}| = n_2$ . Without loss of generality, assume that  $n_1 = 3s_1$  and  $n_2 = 3s_2$ , or  $n_1 = 3s_1 + 1$  and  $n_2 = 3s_2 + 2$ .

For the subcase  $n_1 = 3s_1$  and  $n_2 = 3s_2$ . If  $G_1 \cong G(n_1)$ , then  $G_2 \not\cong G(n_2)$ ,  $H(n_2)$  since  $G \not\cong G(n)$ ,  $H(n)$ . Thus, we obtain

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq g(n_1)i(n_2) && \text{(by Theorem 1.4 and induction hypothesis)} \\ &= \frac{22}{27} \cdot 3^{s_1} \cdot 3^{s_2} \\ &= \frac{22}{27}g(n) = i(n). \end{aligned} \tag{2.1}$$

The equality in (2.1) holds if and only if  $G_1 \cong G(n_1) = s_1 K_3$  and  $G_2 \cong I(n_2)$ , which implies  $G \cong I(n)$ , as desired.

Similarly, if  $G_2 \cong G(n_2)$ , we can also get  $G \cong I(n)$ , as desired. So, we may assume that  $G_1 \not\cong G(n_1)$  and  $G_2 \not\cong G(n_2)$ . Then, by Lemma 1.3 and Theorem 1.5, we have

$$\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)h(n_2) = \frac{64}{81} \cdot 3^{s_1} \cdot 3^{s_2} = \frac{64}{81}g(n) < \frac{22}{27}g(n) = i(n).$$

Now we consider for case  $n_1 = 3s_1 + 1$  and  $n_2 = 3s_2 + 2$ . If  $s_1 = 0$ , then  $\text{mi}(G) = \text{mi}(G_2) \leq g(n_2) = \frac{2}{3}g(n) < \frac{22}{27}g(n) = i(n)$ . So, we assume that  $s_1 \geq 1$  in the following.

If  $G_1 \cong G(n_1)$ , then  $G_2 \not\cong G(n_2)$  since  $G \not\cong H(n)$ . Thus, we obtain that

$$\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq g(n_1)h(n_2) = \frac{8}{9} \cdot 4 \cdot 3^{s_1-1} \cdot 2 \cdot 3^{s_2} = \frac{64}{81}g(n) < \frac{22}{27}g(n) = i(n).$$

If  $G_2 \cong G(n_2)$ , then  $G_1 \not\cong G(n_1)$  since  $G \not\cong H(n)$ . Thus, we have

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq h(n_1)g(n_2) && \text{(by Theorems 1.4 and 1.5)} \\ &= \frac{11}{12}4 \cdot 3^{s_1-1} \cdot 2 \cdot 3^{s_2} \\ &= \frac{22}{27}g(n) = i(n). \end{aligned} \tag{2.2}$$

The equality in (2.2) holds if and only if  $G_1 \cong H(n_1) = (K_3 * K_4) \uplus (s_1 - 1)K_3$  and  $G_2 \cong G(n_2) = K_2 \uplus s_2 K_3$ , which implies that  $G \cong (K_3 * K_4) \uplus K_2 \uplus (s - 3)K_3$ , as desired.

If  $G_1 \not\cong G(n_1)$  and  $G_2 \not\cong G(n_2)$ , then by Lemma 1.3 and Theorem 1.4, we get

$$\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)h(n_2) = \frac{11}{12}g(n_1) \cdot \frac{8}{9}g(n_2) = \frac{22}{27} \cdot \frac{8}{9}g(n) < \frac{22}{27}g(n) = i(n).$$

Secondly, we consider that  $G$  is connected. From Claims 1 and 2, it suffices to consider the case that  $\delta(G) \geq 2$  and  $\Delta(G) \geq 3$ . Choose a vertex  $x \in V_G$  such that  $d(x) = \Delta(G)$ .

If  $d(x) \geq 4$ , then we get

$$\begin{aligned} \text{mi}(G) &\leq \text{mi}(G-x) + \text{mi}(G-N[x]) && \text{(by Lemma 1.1(i))} \\ &\leq g(n-1) + g(n-5) && \text{(by Theorem 1.4)} \\ &= 2 \cdot 3^{s-1} + 4 \cdot 3^{s-3} \\ &= \frac{22}{27}g(n) = i(n). \end{aligned} \tag{2.3}$$

The equality in (2.3) holds if and only if  $G-x \cong G(n-1) = K_2 \uplus (s-1)K_3$  and  $G-N[x] \cong G(n-5) = K_4 \uplus (s-3)K_3$ . But there is no such graph since  $G-N[x]$  is a subgraph of  $G-x$ , hence  $\text{mi}(G) < i(n)$ .

Now assume that  $d(x) = 3$ . If  $G-x \cong G(n-1)$ , then we have  $G \cong (K_3 * K_3) \uplus (s-2)K_3$ , i.e.,  $G \cong H(n)$ , a contradiction.

If  $G-x \not\cong G(n-1)$ , then by Lemma 1.1 and Theorems 1.4 and 1.5, we get

$$\begin{aligned} \text{mi}(G) &\leq \text{mi}(G-x) + \text{mi}(G-N[x]) && \text{(by Lemma 1.1(i))} \\ &\leq h(n-1) + g(n-4) && \text{(by Theorems 1.4 and 1.5)} \\ &= 16 \cdot 3^{s-3} + 2 \cdot 3^{s-2} \\ &= \frac{22}{27}g(n) = i(n). \end{aligned} \tag{2.4}$$

The equality in (2.4) holds if and only if  $G-x \cong H(n-1) = (K_3 * K_3) \uplus K_2 \uplus (s-3)K_3$ ,  $4K_2 \uplus (s-3)K_3$ ,  $K_4 \uplus 2K_2 \uplus (s-3)K_3$ , or  $2K_4 \uplus (s-3)K_3$  and  $G-N[x] \cong G(n-4) = K_2 \uplus (s-2)K_3$ . But there is no such graph since  $\delta(G) \geq 2$  and  $d(x) = 3$ , hence  $\text{mi}(G) < i(n)$ .

**Subcase 2.2.**  $n = 3s + 1$ .

Firstly, we consider that  $G$  is disconnected. Obviously, we can always find two vertex-disjoint graphs  $G_1$  and  $G_2$  such that  $G = G_1 \uplus G_2$ , where  $|V_{G_1}| = n_1$ ,  $|V_{G_2}| = n_2$ . Without loss of generality, assume that  $n_1 = 3s_1$  and  $n_2 = 3s_2 + 1$ , or  $n_1 = 3s_1 + 2$  and  $n_2 = 3s_2 + 2$ .

For the subcase  $n_1 = 3s_1$  and  $n_2 = 3s_2 + 1$ . If  $s_2 = 0$ , then  $\text{mi}(G) = \text{mi}(G_1) \leq g(n_1) = \frac{3}{4}g(n) < \frac{8}{9}g(n) = i(n)$ . So, we assume that  $s_2 \geq 1$  in the following.

If  $G_1 \cong G(n_1)$ , then  $G_2 \not\cong G(n_2)$ ,  $H(n_2)$  since  $G \not\cong G(n)$ ,  $H(n)$ . Thus, we obtain that

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq g(n_1)i(n_2) && \text{(by Theorem 1.4 and induction hypothesis)} \\ &= \frac{8}{9} \cdot 3^{s_1} \cdot 4 \cdot 3^{s_2-1} \\ &= \frac{8}{9}g(n) = i(n). \end{aligned} \tag{2.5}$$

The equality in (2.5) holds if and only if  $G_1 \cong G(n_1) = s_1K_3$  and  $G_2 \cong I(n_2)$ , this means  $G \cong I(n)$ .

If  $G_2 \cong G(n_2)$ , then  $G_1 \not\cong G(n_1)$  since  $G \not\cong H(n)$ . Thus, we have

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq h(n_1)g(n_2) && \text{(by Theorems 2.1 and 2.2)} \\ &= \frac{8}{9} \cdot 3^{s_1} \cdot 4 \cdot 3^{s_2-1} \\ &= \frac{8}{9}g(n) = i(n). \end{aligned} \tag{2.6}$$

The equality in (2.7) holds if and only if  $G_1 \cong H(n_1)$  and  $G_2 \cong G(n_2)$ , this means  $G \cong I(n)$ .

If  $G_1 \not\cong G(n_1)$  and  $G_2 \not\cong G(n_2)$ , then by Lemma 1.3 and Theorem 1.4, we get

$$\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)h(n_2) = \frac{8}{9} \cdot 3^{s_1} \cdot \frac{11}{12} \cdot 4 \cdot 3^{s_2-1} = \frac{8}{9} \cdot \frac{11}{12}g(n) < \frac{8}{9}g(n) = i(n).$$

Now, we consider the subcase  $n_1 = 3s_1 + 2$  and  $n_2 = 3s_2 + 2$ .

If  $G_1 \cong G(n_1)$ , then  $G_2 \not\cong G(n_2)$  since  $G \not\cong G(n)$ . Thus, we have

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq g(n_1)h(n_2) && \text{(by Theorems 2.1 and 2.2)} \\ &= \frac{8}{9} \cdot 2 \cdot 3^{s_1} \cdot 2 \cdot 3^{s_2} \\ &= \frac{8}{9}g(n) = i(n). \end{aligned} \tag{2.7}$$

The equality in (2.7) holds if and only if  $G_1 \cong G(n_1) = K_2 \uplus s_1K_3$  and  $G_2 \cong H(n_2)$ , this means  $G \cong K_4 \uplus 3K_2 \uplus (s-3)K_3$ ,  $2K_4 \uplus K_2 \uplus (s-3)K_3$ ,  $(K_3 * K_3) \uplus 2K_2 \uplus (s-3)K_3$ , or  $5K_2 \uplus (s-3)K_3$ .

Similarly, if  $G_2 \cong G(n_2)$ , we can also get  $G \cong K_4 \uplus 3K_2 \uplus (s-3)K_3$ ,  $2K_4 \uplus K_2 \uplus (s-3)K_3$ ,  $(K_3 * K_3) \uplus 2K_2 \uplus (s-3)K_3$ , or  $5K_2 \uplus (s-3)K_3$ .

If  $G_1 \not\cong G(n_1)$  and  $G_2 \not\cong G(n_2)$ . Then by Lemma 1.3 and Theorem 1.5, we get

$$\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)h(n_2) = \frac{8}{9} \cdot 2 \cdot 3^{s_1} \cdot \frac{8}{9} \cdot 2 \cdot 3^{s_2} = \frac{64}{81}g(n) < \frac{8}{9}g(n) = i(n).$$

Next, we consider that  $G$  is connected. From Claims 1 and 2, we just need to consider the case that  $\delta(G) \geq 2$  and  $\Delta(G) \geq 3$ . Choose a vertex  $x \in V_G$  such that  $d(x) = \Delta(G)$ .

Suppose that  $d(x) \geq 4$ . For the case  $G-x \cong G(n-1) = sK_3$ , we get  $G-N[x] \not\cong G(n-5)$  since  $G \not\cong H(n)$ . If  $G-N[x] \cong H(n-5)$ , we get  $G-N[x] \cong 4K_2 \uplus (s-4)K_3$  since  $G-N[x]$  is a subgraph of  $G-x$ . So, we can obtain that  $n = 13$ ,  $G \cong K_1 * 4K_3$ . By direct computing, we have  $\text{mi}(G) = 81 < i(13) = 96$ . Hence, assume that  $G-N[x] \not\cong H(n-5)$ . By induction



hypothesis, we get  $\text{mi}(G - N[x]) \leq \max\{g(n-6), i(n-5)\} = \max\{4 \cdot 3^{s-3}, 5 \cdot 3^{s-3}\} = 5 \cdot 3^{s-3}$ . Thus, we obtain

$$\begin{aligned} \text{mi}(G) &\leq \text{mi}(G-x) + \text{mi}(G-N[x]) && \text{(by Lemma 1.1(i))} \\ &\leq g(n-1) + 5 \cdot 3^{s-3} && \text{(by Theorem 1.4)} \\ &= 32 \cdot 3^{s-3} \\ &= \frac{8}{9}g(n) = i(n). \end{aligned} \tag{2.8}$$

The equality in (2.8) holds if and only if  $G-x \cong G(n-1) = sK_3$  and  $G-N[x] \cong I(n-5)$ . But there is no such graph since  $G-N[x]$  is a subgraph of  $G-x$ . Hence  $\text{mi}(G) < i(n)$ .

For the case  $G-x \not\cong G(n-1)$ , by Lemma 1.1(i) and Theorems 1.4 and 1.5, we get  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G-N[x]) \leq h(n-1) + g(n-5) = 8 \cdot 3^{s-2} + 2 \cdot 3^{s-2} = \frac{5}{6}g(n) < \frac{8}{9}g(n) = i(n)$ , which is impossible.

Now assume that  $d(x) = 3$ . If  $G-x \cong G(n-1)$ , since  $G$  is connected,  $G$  is of order at most 10, this is a contradiction. If  $G-x \not\cong G(n-1)$  and  $G-N[x] \not\cong G(n-4)$ . Thus, we get

$$\begin{aligned} \text{mi}(G) &\leq \text{mi}(G-x) + \text{mi}(G-N[x]) && \text{(by Lemma 1.1(i))} \\ &\leq h(n-1) + h(n-4) && \text{(by Theorem 1.5)} \\ &= \frac{8}{9} \cdot 3^s + \frac{8}{9}3^{s-1} \\ &= \frac{8}{9}g(n) = i(n). \end{aligned} \tag{2.9}$$

The equality in (2.9) holds if and only if  $G-x \cong H(n-1) = sK_3$  and  $G-N[x] \cong H(n-4) = (s-1)K_3$ , this means  $G \cong K_4$ , this is a contradiction. Hence  $\text{mi}(G) < i(n)$ .

Now, we just need to consider that for any vertex  $v \in V_G$  such that  $d(v) = 3$ , we can assume that  $G-v \not\cong G(n-1)$  and  $G-N[v] \cong G(n-4)$ . Since  $G$  is connected,  $G$  is of order at most 7, this is a contradiction.

**Subcase 2.3.**  $n = 3s + 2$ .

Firstly we consider that  $G$  is disconnected. Obviously, we can always find two vertex-disjoint graphs  $G_1$  and  $G_2$  such that  $G = G_1 \uplus G_2$ , where  $|V_{G_1}| = n_1$ ,  $|V_{G_2}| = n_2$ . Without loss of generality, assume that  $n_1 = 3s_1 + 1$  and  $n_2 = 3s_2 + 1$ , or  $n_1 = 3s_1$  and  $n_2 = 3s_2 + 2$ .

For the subcase  $n_1 = 3s_1 + 1$  and  $n_2 = 3s_2 + 1$ . If  $s_1 = 0$ , then by Lemma 1.3 and Theorem 1.4, we have  $\text{mi}(G) = \text{mi}(G_2) \leq g(n_2) = g(n-1) = \frac{2}{3}g(n) < \frac{5}{6}g(n) = i(n)$ . Hence, assume  $s_1 \geq 1$  in what follows. Similarly, we assume that  $s_2 \geq 1$ .

If  $G_1 \cong G(n_1)$ , then we get  $G_2 \not\cong G(n_2)$  since  $G \not\cong H(n)$ . By Lemma 1.3 and Theorems 1.4 and 1.5, we get  $\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq g(n_1)h(n_2) = \frac{11}{12} \cdot 4 \cdot 3^{s_1-1} \cdot 4 \cdot 3^{s_2-1} = \frac{22}{27}g(n) < \frac{5}{6}g(n) = i(n)$ .

If  $G_1 \not\cong G(n_1)$ , then by Lemma 1.3 and Theorems 1.4 and 1.5, we get  $\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)g(n_2) = \frac{11}{12} \cdot 4 \cdot 3^{s_1-1} \cdot 4 \cdot 3^{s_2-1} = \frac{22}{27}g(n) < \frac{5}{6}g(n) = i(n)$ .

Now, consider the subcase  $n_1 = 3s_1$  and  $n_2 = 3s_2 + 2$ . If  $G_1 \cong G(n_1)$ , then we get  $G_2 \not\cong G(n_2)$ ,  $H(n_2)$  since  $G \not\cong G(n)$ ,  $H(n)$ . Thus, we obtain that

$$\begin{aligned} \text{mi}(G) &= \text{mi}(G_1) \cdot \text{mi}(G_2) && \text{(by Lemma 1.3)} \\ &\leq g(n_1)i(n_2) && \text{(by Theorems 1.4 and 1.5)} \\ &= \frac{5}{6} \cdot 3^{s_1} \cdot 2 \cdot 3^{s_2} \\ &= \frac{5}{6}g(n) = i(n). \end{aligned} \tag{2.10}$$

The equality in (2.10) holds if and only if  $G_1 \cong G(n_1) = s_1K_3$  and  $G_2 \cong I(n_2)$ , this means  $G \cong I(n)$ .

If  $G_2 \cong G(n_2)$ , then we get  $G_1 \not\cong G(n_1)$ ,  $H(n_1)$  since  $G \not\cong G(n)$ ,  $H(n)$ . By Lemma 1.3, Theorem 1.4 and induction hypothesis, we get  $\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq i(n_1)g(n_2) = \frac{22}{27} \cdot 3^{s_1} \cdot 2 \cdot 3^{s_2} = \frac{22}{27}g(n) < \frac{5}{6}g(n) = i(n)$ .

If  $G_1 \not\cong G(n_1)$  and  $G_2 \not\cong G(n_2)$ , then by Lemma 1.3 and Theorem 1.5, we get  $\text{mi}(G) = \text{mi}(G_1) \cdot \text{mi}(G_2) \leq h(n_1)h(n_2) = \frac{64}{81} \cdot 3^{s_1} \cdot 2 \cdot 3^{s_2} = \frac{64}{81}g(n) < \frac{5}{6}g(n) = i(n)$ .

Next, we consider that  $G$  is connected. From Claims 1 and 2, we just need to consider the case that  $\delta(G) \geq 2$  and  $\Delta(G) \geq 3$ . Choose a vertex  $x \in V_G$  such that  $d(x) = \Delta(G)$ .

If  $d(x) \geq 4$ , then we get

$$\begin{aligned}
\text{mi}(G) &\leq \text{mi}(G-x) + \text{mi}(G-N[x]) && \text{(by Lemma 1.1(i))} \\
&\leq g(n-1) + g(n-5) && \text{(by Theorem 1.4)} \\
&= 4 \cdot 3^{s-1} + 3^{s-1} \\
&= \frac{5}{6}g(n) = i(n).
\end{aligned} \tag{2.11}$$

The equality in (2.11) holds if and only if  $G-x \cong G(n-1) = K_4 \uplus (s-1)K_3$  and  $G-N[x] \cong G(n-5) = (s-1)K_3$ , which implies  $G \cong K_5 \uplus (s-1)K_3$  or  $(K_4 * K_4) \uplus (s-2)K_3$ .

Now assume that  $d(x) = 3$ . If  $G-x \cong G(n-1)$ , then it is easy to see either  $G-x \cong 2K_2 \uplus (s-1)K_3$  or  $K_4 \uplus (s-1)K_3$ . Since  $\delta(G) \geq 2$  and  $\Delta(G) = 3$ , it follows that  $G-x \not\cong 2K_2 \uplus (s-1)K_3$ . Since  $\Delta(G) = 3$  and  $G$  is connected, it follows that  $G-x \cong K_4 \uplus (s-1)K_3$ .

If  $G-x \not\cong G(n-1)$ , since  $\Delta(H(n-1)) = 4$ , we get  $G-x \not\cong H(n-1)$ . By induction hypothesis, we get  $\text{mi}(G-x) \leq i(n-1)$ . Thus by Lemma 1.1(i) and Theorem 1.4, we get  $\text{mi}(G) \leq \text{mi}(G-x) + \text{mi}(G-N[x]) \leq i(n-1) + g(n-4) = \frac{8}{9} \cdot 4 \cdot 3^{s-1} + 4 \cdot 3^{s-2} = \frac{22}{27}g(n) < \frac{5}{6}g(n) = i(n)$ .

By Subcases 2.1-2.3, Theorem 1.6 (ii) holds. This completes the proof.

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