

## A Characterization of Semi Bound Graphs

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**Abstract.** In this paper we deal with semi bound graphs. For a poset  $P$ , a graph  $G$  is a semi bound graph of  $P$  if  $V(G) = V(P)$  and  $uv \in E(G)$  if and only if there exists a common upper bound of  $u$  and  $v$  or a common lower bound of  $u$  and  $v$  in  $P$ . We characterize semi bound graphs using properties on complete bipartite graphs as induced subgraphs. We also obtain characterizations of triangle-free semi bound graphs and  $k_4$ -free semi bound graphs.

2000 Mathematics Subject Classification: 05C62.

### 1. Introduction

In this paper, we consider finite undirected simple graphs. For a vertex  $v$  in a graph  $G$ , the *neighborhood* of  $v$  is the set of vertices which are adjacent to  $v$ , and denoted by  $N_G(v)$ . Cohen [1] introduced graphs associated with food web models, for example, *competition graphs* of a digraph  $D$ . In the case of transitive digraphs, that is, posets, McMorris and Zaslavsky [4] introduced a concept of upper bound graphs and gave a characterization. Also McMorris, Zaslavsky [4] and Diny [2] deal with double bound graphs.

In [3] we deal with semi bound graphs. For a poset  $P$ , the *semi bound graph* (*SB-graph*) of a poset  $P$  is a graph  $G$ , where  $V(G) = V(P)$  and for distinct vertices  $u$  and  $v$  in  $G$ ,  $uv \in E(G)$  if and only if there exists a common upper bound of  $u$  and  $v$  in  $P$  or a common lower bound of  $u$  and  $v$  in  $P$ . In [3] we obtain some characterizations of semi bound graphs, using properties of upper bound graphs and double bound graphs. In this paper we consider SB-graphs, using properties on complete bipartite graphs as induced subgraphs.

## 2. Semi bound graphs

For a poset  $P$ ,  $Max(P)$  is the set of all maximal elements of  $P$  and  $Min(P)$  is the set of all minimal elements of  $P$ . For  $M \subseteq Max(P)$  and  $N \subseteq Min(P)$ ,  $H(M, N)$  is the Hasse diagram of the subposet of  $P$  induced by  $M \cup N$ .

**Lemma 1.** *Let  $P$  be a poset and  $G$  be the semi bound graph of  $P$ . For  $\emptyset \neq M \subseteq Max(P)$  and  $\emptyset \neq N \subseteq Min(P)$ , the induced subgraph  $\langle M \cup N \rangle_{V(G)}$  is a complete subgraph if and only if the Hasse diagram of the subposet induced by  $M \cup N$  is a complete bipartite graph.*

*Proof.* If  $H(M, N)$  is a complete bipartite graph, then every element of  $N$  is a common lower bound of  $u$  and  $v$ , for any  $u, v \in M$ , and every element of  $M$  is a common upper bound of  $x$  and  $y$ , for any  $x, y \in N$ . Thus the induced subgraph  $\langle M \cup N \rangle_{V(G)}$  is a complete subgraph.

If  $H(M, N)$  is not a complete bipartite graph, then there exist  $u \in M$  and  $x \in N$  such that  $u$  is not comparable with  $x$ . Since  $u$  and  $x$  have no common upper bound nor common lower bound,  $ux \notin E(\langle M \cup N \rangle_{V(G)})$ . Thus  $\langle M \cup N \rangle_{V(G)}$  is not a complete subgraph.

For a poset  $P$  and  $v \in Max(P)$ , let  $I_P[v] = \{u \in Min(P); u \leq_P v\} \cup \{v\}$ . Likewise, for  $v \in Min(P)$ ,  $I_P[v] = \{u \in Max(P); v \leq_P u\} \cup \{v\}$ . Then the Hasse diagram of  $I_P[v]$  is isomorphic to a complete bipartite graph  $K_{1,n}$ , and  $\langle I_P[v] \rangle_{V(G)}$  is a complete graph  $K_{n+1}$  by Lemma 1, where  $|I_P[v]| = n + 1$ . Let  $T_n (n \geq 1)$  be the graph with  $V(T_n) = \{v_0, v_1, \dots, v_{n+1}\}$  and  $E(T_n) = \{v_i v_{i+1}; i = 0, 1, \dots, n\} \cup \{v_i v_{i+2}; i = 0, 1, \dots, n-1\}$ . Then  $T_1$  is  $K_3$  and  $T_2$  is  $K_4 - e$ .

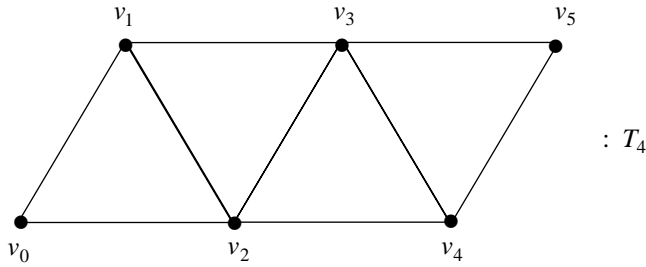


Figure 1. The graph  $T_4$

Let  $Z_0$  be the two elements total ordered set and for  $n \geq 1$  let  $Z_n$  be the poset with  $V(Z_n) = \{v_0, v_1, \dots, v_{n+1}\}$ , where  $v_0 \leq_{Z_n} v_1$ ,  $v_{2i} \leq_{Z_n} v_{2i-1}$  and  $v_{2i} \leq_{Z_n} v_{2i+1}$  for  $i = 1, 2, \dots, \lfloor \frac{n}{2} \rfloor$ . For a poset  $P$ ,  $P^d$  is the dual poset of  $P$ . By Lemma 1, we have the following result.

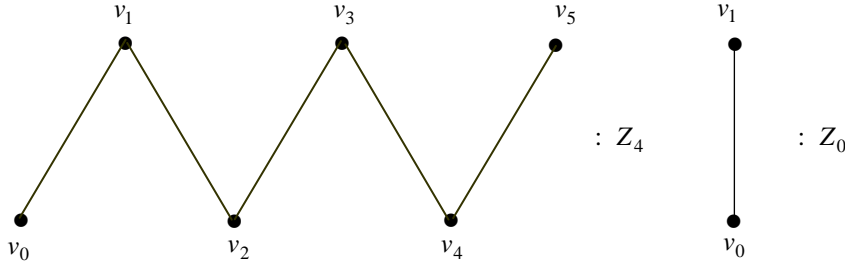


Figure 2. The posets  $Z_4$  and  $Z_0$

**Proposition 2.** *Let  $G$  be a connected semi bound graph.*

- (1)  $G$  is triangle-free if and only if  $G$  is  $K_2$ ,
- (2)  $G$  is  $K_4$ -free if and only if  $G$  is  $T_n$  ( $n \geq 1$ ) or  $K_2$ .

*Proof.* (1)  $K_2$  is a connected triangle-free semi bound graph. We assume that  $G$  is a triangle-free and  $P$  is a poset with  $SB(P) \cong G$ . Since  $G$  has no  $K_3$ , for  $v \in Max(P)$  (or  $v \in Min(P)$ ),  $I_P[v] = Z_0$ . If there exists  $w \in V(G) - (Max(P) \cup Min(P))$  such that  $w \leq_P v$  for some  $v \in Max(P)$ , then  $I_P[v] \cup \{w\}$  corresponds to  $K_3$  in  $G$ , which is a contradiction. So  $V(G) - (Max(P) \cup Min(P)) = \emptyset$ ,  $P = Z_0$  and  $G \cong K_2$ .

(2) Since  $SB(Z_n) \cong T_n$ ,  $T_n$  is a connected  $K_4$ -free semi bound graph. Let  $G$  be a connected  $K_4$ -free graph and  $P$  be a poset with  $SB(P) \cong G$ . Since  $G$  is  $K_4$ -free,  $I_P[v]$  is  $Z_0$  or  $Z_1$  (or  $Z_1^d$ ) for  $v \in Max(P)$  (or  $v \in Min(P)$ ). If  $V(G) - (Max(P) \cup Min(P)) = \emptyset$ ,  $P \cong Z_n$  (or  $\cong Z_n^d$ ) ( $n \geq 0$ ) and  $G \cong T_n$  or  $K_2$ . Next we consider the case  $V(G) - (Max(P) \cup Min(P)) \neq \emptyset$ . For  $v \in Max(P)$  with  $I_P[v] = Z_1$ , if there exists  $w \in V(G) - (Max(P) \cup Min(P))$  with  $w \leq_P v$ , then  $I_P[v] \cup \{w\} \cong K_4$ , which is a contradiction. The proof is similar for  $v \in Min(P)$  with  $I_P[v] = Z_1^d$ . In the case  $I_P[v] \cong Z_0$  for  $v \in Max(P)$ , since  $G$  is  $K_4$ -free, there exists exactly one element  $w \in V(G) - (Max(P) \cup Min(P))$  with  $w \leq_P v$ ,  $I_P[v] \cup \{w\} = P$  and  $G$  is  $K_3$ .

A *clique* in the graph  $G$  is the vertex set of a maximal complete subgraph, and a family  $\mathcal{C}$  of complete subgraphs *edge covers*  $G$  if and only if for each edge  $uv \in E(G)$  there exists  $C \in \mathcal{C}$  such that  $u, v \in C$ . Using Lemma 1, we also have the next result.

**Theorem 3.** *Let  $G$  be a connected graph. Then  $G$  is a semi bound graph with  $p \geq 2$  vertices if and only if there exist disjoint independent vertex subsets  $M$  and  $N$  satisfying the followings:*

- (1) *There exists a family of complete subgraphs  $\mathcal{C}(M \cup N) = \{C_1, \dots, C_s\}$  of the induced subgraph  $\langle M \cup N \rangle_{V(G)}$  such that*
  - (a)  $\mathcal{C}(M \cup N)$  *edge covers*  $\langle M \cup N \rangle_{V(G)}$ ,
  - (b) *For each  $i = 1, \dots, s$   $C_i^M = C_i \cap M$  and  $C_i^N = C_i \cap N$  satisfy the following conditions:*
    - (i)  $C_i^M \neq \emptyset$  and  $C_i^N \neq \emptyset$ ,
    - (ii) *if  $C_i^M \cap C_j^M \neq \emptyset$ , then there exists a  $C_k \in \mathcal{C}(M \cup N)$  such that  $C_k^M = C_i^M \cap C_j^M$  and  $C_k^N \supseteq C_i^N \cup C_j^N$ , and*
    - (iii) *if  $C_i^N \cap C_j^N \neq \emptyset$ , then there exists a  $C_k \in \mathcal{C}(M \cup N)$  such that  $C_k^M \supseteq C_i^M \cup C_j^M$  and  $C_k^N = C_i^N \cap C_j^N$ .*
- (2) *For each vertex  $v \in V(G) - (M \cup N)$ , there exist vertex subsets  $M_v$  and  $N_v$  satisfies the following conditions:*
  - (a)  $\emptyset \neq M_v \subseteq M \cap N_G(v)$  and  $\emptyset \neq N_v \subseteq N \cap N_G(v)$ ,
  - (b) *for  $u \in M$ ,  $uv \in E(G)$  if and only if there exists  $x \in N_v$  such that  $ux \in E(G)$ ,*
  - (c) *for  $u \in N$ ,  $uv \in E(G)$  if and only if there exists  $x \in M_v$  such that  $ux \in E(G)$ ,*
  - (d) *for  $w (\neq v) \in V(G) - (M \cup N)$ ,  $uw \in E(G)$  if and only if  $M_v \cap M_w \neq \emptyset$  or  $N_v \cap N_w \neq \emptyset$ ,*
  - (e) *there exists  $C_i \in \mathcal{C}(M \cup N)$  such that  $M_v \cup N_v \subseteq C_i$ .*

*Proof.* Let  $G$  be the connected semi bound graph of a poset  $P$ ,  $M = \text{Max}(P)$ ,  $N = \text{Min}(P)$  and  $\mathcal{C}(M \cup N) = \{C_i; C_i \text{ is a complete bipartite subgraph of the Hasse diagram of } M \cup N\}$ . By Lemma 1, each  $C_i \in \mathcal{C}(M \cup N)$  is a complete subgraph of  $\langle M \cup N \rangle_{V(G)}$ . Since  $G$  is connected,  $|C_i| \geq 2$  for all  $i$ . For  $v \in V(P)$ ,  $M_v = \{u \in M; v \leq_P u\}$  and  $N_v = \{u \in N; u \leq_P v\}$ .

First we consider condition (1)-(a). For  $xy \in E(\langle M \cup N \rangle_{V(G)})$ , we have four cases as follows:

- (1)  $x, y \in M$ ,
- (2)  $x, y \in N$ ,
- (3)  $x \in N, y \in M$ ,
- (4)  $x \in N, y \in N$ .

From the definition of  $M$  and  $N$ , in every case there exists a common upper bound  $w$  of  $x$  and  $y$  or a common lower bound  $w$  of  $x$  and  $y$ . Then  $\langle \{x, y, w\} \rangle_P$  form a complete bipartite graph as a Hasse diagram and there exists  $C_i \in \mathcal{C}(M \cup N)$  such that  $x, y \in C_i$ . So  $\mathcal{C}(M \cup N)$  edge covers  $\langle M \cup N \rangle_{V(G)}$ .

Next we consider condition (1)–(b). Since  $|C_i| \geq 2$  for all  $i$ ,  $C_i^M \neq \emptyset$  and  $C_i^N \neq \emptyset$ . When  $C_i^M \cap C_j^M \neq \emptyset$ , we define  $C_k^M = C_i^M \cap C_j^M$ ,  $C_k^N = \bigcap_{x \in C_k^M} N_x$  and  $C_k = \langle C_k^M \cup C_k^N \rangle_{V(G)}$ . Then  $\langle C_k^M \cup C_k^N \rangle_P$  is a maximal complete bipartite graph as a Hasse diagram and  $C_k$  is a complete subgraph in  $\mathcal{C}(M \cup N)$ . Since  $\langle C_i^M \cup C_i^N \rangle_P$  and  $\langle C_j^M \cup C_j^N \rangle_P$  are complete bipartite graphs as a Hasse diagram,  $C_k^N \supseteq C_i^N \cup C_j^N$ . Likewise for the case,  $C_i^N \cap C_j^N \neq \emptyset$ , there exists a  $C_k \in \mathcal{C}(M \cup N)$  such that  $C_k^M \supseteq C_i^M \cup C_j^M$  and  $C_k^N = C_i^N \cap C_j^N$ .

We consider condition (2). If  $u \in M_v$ , then  $uv \in E(G)$ , and if  $u \in N_v$ , then  $uv \in E(G)$ , that is,  $\emptyset \neq M_v \subseteq M \cap N_G(v)$  and  $\emptyset \neq N_v \subseteq N \cap N_G(v)$ . For  $u \in M$  and  $uv \in E(G)$ , there exists a common upper bound of  $u$  and  $v$  or a common lower bound of  $u$  and  $v$ . Since  $u \in M$  and  $v \notin M \cup N$ , there exists  $x \in N_v$  such that  $ux \in E(G)$ . If there exists  $x \in N_v$  such that  $ux \in E(G)$ , there exists  $u \in M$  such that  $uv \in E(G)$ , since  $x \in N$  and  $v \notin M \cup N$ . Similarly, for  $u \in N$ ,  $uv \in E(G)$  if and only if there exists  $x \in M_v$  such that  $ux \in E(G)$ .

Let  $w$  be a distinct vertex from  $v$  in  $V(G) - (M \cup N)$ , then  $uw \in E(G)$  if and only if there exists a common upper bound of  $v$  and  $w$  or a common lower bound of  $v$  and  $w$ . This means that  $M_v \cap M_w \neq \emptyset$  or  $N_v \cap N_w \neq \emptyset$ .

Since  $\langle M_v \cup N_v \rangle_P$  is a complete bipartite graph as a Hasse diagram, there exists  $C_i \in \mathcal{C}(M \cup N)$  such that  $M_v \cup N_v \subseteq C_i$ . Therefore  $\mathcal{C}(M \cup N)$  satisfies the necessary conditions.

Conversely we assume that  $G$  is a graph with two disjoint independent subset  $M, N$  and a family of complete subgraphs  $\mathcal{C}(M \cup N) = \{C_1, \dots, C_s\}$  satisfying the necessary conditions. Then we obtain a poset  $P$  as follows:

- (1)  $V(P) = V(G)$ ,
- (2) for all  $x \in V(G)$ ,  $x \leq_p x$ ,
- (3) for each pair  $m \in M$  and  $n \in N$ ,  $n \leq_p m$  if  $mn \in E(G)$ , and
- (4) for each  $v \in V(G) - (M \cup N)$ ,  $v \leq_p m$  for all  $m \in M_v$  and  $n \leq_p v$  for all  $nm \in N_v$ .

If  $x \leq_p y$  and  $y \leq_p z$ ,  $x \in N_y$  and  $z \in M_y$ . By condition (2)–(e), there exists a complete subgraph  $C_i \in \mathcal{C}(M \cup N)$  such that  $x, z \in C_i$ . Then  $xz \in E(G)$  and  $x \leq_p z$ . Thus  $P$  is a poset on  $V(G)$ .

Next we show that the semi bound graph of  $P$  is  $G$ . For any pair of  $x, y \in V(G)$ , we have six cases as follows:

- (1)  $x, y \in \text{Max}(P) = M$ ,
- (2)  $x, y \in \text{Min}(P) = N$ ,
- (3)  $x \in \text{Max}(P) = M$  and  $y \in \text{Min}(P) = N$ ,
- (4)  $x \in \text{Max}(P) = M$  and  $y \in V(G) - (M \cup N)$ ,
- (5)  $x \in V(G) - (M \cup N)$  and  $y \in \text{Min}(P) = N$ , and
- (6)  $x, y \in V(G) - (M \cup N)$ .

**Case 1.**  $x, y \in \text{Max}(P) = M$ .

If  $xy \in E(G)$ , there exists a clique  $C_i$  such that  $x, y \in C_i$  by condition (1). Thus for  $v \in C_i \cap N$ ,  $v \leq_p x$ ,  $v \leq_p y$  and  $xy \in E(SB(P))$ . If  $xy \in E(SB(P))$ , there exists a  $w \in N$  such that  $w \leq_p x$  and  $w \leq_p y$ . Thus  $xw, yw \in E(G)$ . By condition (1)–(b)–(iii), there exists  $C \in \mathcal{C}(M \cup N)$  such that  $x, y, w \in C$ . Therefore  $xy \in E(G)$ .

**Case 2.**  $x, y \in \text{Min}(P) = N$ .

Similar to Case 1.

**Case 3.**  $x \in \text{Max}(P) = M$  and  $y \in \text{Min}(P) = N$ .

By Lemma 1 and the definition of the poset  $P$ ,  $xy \in E(G)$  is equivalent to  $xy \in E(SB(P))$ .

**Case 4.**  $x \in \text{Max}(P) = M$  and  $y \in V(G) - (M \cup N)$ .

If  $xy \in E(G)$ , then by condition (2)–(b) there exists  $v \in N_y$  and  $y \leq_p x$ , and  $xy \in E(SB(P))$ . If  $xy \in E(SB(P))$ , there exists a  $w \in N$  such that  $w \leq_p x$  and  $w \leq_p y$ . Thus  $w \in N_y$  and  $wx \in E(G)$ . Again by condition (2)–(b),  $xy \in E(G)$ .

**Case 5.**  $x \in V(G) - (M \cup N)$  and  $y \in \text{Min}(P) = N$ .

Using a way similar to that for Case (4) and condition (2)–(c), we can show that  $xy \in E(G)$  is equivalent to  $xy \in E(SB(P))$ .

**Case 6.**  $x, y \in V(G) - (M \cup N)$ .

If  $xy \in E(G)$ , then by condition (2)–(d)  $M_x \cap M_y \neq \emptyset$  or  $N_x \cap N_y \neq \emptyset$ . Thus  $xy \in E(SB(P))$ . If  $xy \in E(SB(P))$ , there exists a  $w \in M$  such that  $x, y \leq_p w$  or  $w \in N$  such that  $w \leq_p x, y$ . In the former case  $w \in M_y$  and  $w \in M_x$ . Thus  $M_x \cap M_y \neq \emptyset$ . By condition (2)–(d),  $xy \in E(G)$ . In the latter case,  $xy \in E(G)$  similarly.

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