

## On a Class of Functions whose Derivatives Map the Unit Disc into a Half Plane

DAUD MOHAMAD

Universiti Teknologi MARA, Kampus Bukit Sekilau, 25200 Kuantan, Pahang, Malaysia

**Abstract.** Let  $\mathbf{G}(\alpha, \delta)$  denote the class of functions  $f$ ,  $f(0) = f'(0) - 1 = 0$  for which  $\operatorname{Re} e^{i\alpha} f'(z) > \delta$  in  $D = \{z : |z| < 1\}$  where  $|\alpha| \leq \pi$  and  $\cos \alpha - \delta > 0$ . We discuss some basic properties of the class including representation theorem, extremals and argument of  $\mathbf{G}(\alpha, \delta)$ .

### 1. Introduction

We denote  $\mathbf{G}(\alpha, \delta)$  the class of normalized analytic functions  $f$  in the unit disc  $D$  where

$$f(z) = z + a_2 z^2 + \cdots + a_n z^n + \cdots$$

satisfying  $\operatorname{Re} e^{i\alpha} f'(z) > \delta$  where  $|\alpha| \leq \pi$  and  $\cos \alpha - \delta > 0$ .

Many of the classes  $\mathbf{G}(\alpha, \delta)$  have been studied by several researchers such as MacGregors [3] for  $\mathbf{G}(0, 0)$ , Goel and Mehrok [1] for  $\mathbf{G}(\alpha, \delta)$  ( $\delta \geq 0$ ) and Silverman and Silvia [4] for  $\mathbf{G}(\alpha, 0)$ . Writing

$$p(z) = \frac{e^{i\alpha} f'(z) - i \sin \alpha - \delta}{\cos \alpha - \delta} \quad (z \in D), \quad (1)$$

clearly  $f \in \mathbf{G}(\alpha, \delta)$  if and only if  $p \in P$ , the class of functions with positive real parts.

Solving (1) for  $f'(z)$  yields

$$f'(z) = e^{-i\alpha} (Ap(z) + i \sin \alpha + \delta) \quad (z \in D) \quad (2)$$

where  $A = \cos \alpha - \delta$ .

## 2. Representation theorem

We obtain the representation theorem for  $\mathbf{G}(\alpha, \delta)$ , sharing the same approach through Herglotz Representation Theorem for functions in  $P$ .

**Theorem 2.1.** *Let  $f \in g(\alpha, \delta)$ . Then for some probability measure  $\mu$  on the unit circle  $X$ ,*

$$f(z) = \int_X \left[ -e^{-i\alpha} (e^{-i\alpha} - 2\delta)z - 2e^{-i\alpha} A\bar{x} \log(1-xz) \right] d\mu(x). \quad (3)$$

*Conversely, if  $f$  is given by the above equation, then  $f \in \mathbf{G}(\alpha, \delta)$ .*

*Proof.* For some probability measure  $\mu$  on the circle  $X$ ,

$$p \in P \Leftrightarrow p(z) = \int \frac{1+xz}{1-xz} d\mu(x).$$

Using (2), we have

$$f'(z) = e^{-i\alpha} \left[ A \int \frac{1+xz}{1-xz} + i \sin \alpha + \delta \right] d\mu(x)$$

and so

$$\begin{aligned} f(z) &= e^{-i\alpha} \left[ \int_0^z \left( \int_X A \left( \frac{1+x\psi}{1-x\psi} \right) + (i \sin \alpha + \delta) d\mu(x) \right) d\psi \right] \\ &= \int_0^z \left[ \int_X \frac{1 + (e^{-i2\alpha} - 2\delta e^{-i\alpha})x\psi}{1-x\psi} d\mu(x) \right] d\psi \\ &= \int_0^z \left[ \int_X -e^{-i\alpha} (e^{-i\alpha} - 2\delta) + \frac{2e^{-i\alpha} A}{1-x\psi} d\mu(x) \right] d\psi \end{aligned} \quad (4)$$

and the desired representation theorem is obtained by reversing the order of integration and integrating with respect to  $\psi$ .

We note that the extreme points of  $\mathbf{G}(\alpha, \delta)$  are the unit point masses

$$f_x(z) = -e^{-i\alpha} (e^{-i\alpha} - 2\delta)z - 2e^{-i\alpha} A\bar{x} \log(1 - xz)$$

with  $|x| = 1$  and the derivatives of the extreme points for  $\mathbf{G}(\alpha, \delta)$  are the point masses

$$f_x(z) = \frac{1 + (e^{-i2\alpha} - 2\delta e^{-i\alpha})xz}{1 - xz}, \quad |x| = 1.$$

### 3. Extremal properties

Following Silverman and Silvia [4], we now obtain a coefficient bound for functions in  $\mathbf{g}(\alpha, \delta)$  and distortion theorems for the derivatives of these functions.

**Theorem 3.1.** *If  $f \in \mathbf{G}(\alpha, \delta)$ , then  $|a_n| \leq 2A/n$ ,  $n = 2, 3, 4, \dots$  and equality is attained for each  $n$  when  $f$  is an extreme point of  $\mathbf{G}(\alpha, \delta)$ .*

*Proof.* Using (4) and since  $1/(1 - x\psi) = \sum_0^\infty (x\psi)^n$ , we can write

$$f(z) = z + 2e^{-i\alpha} A \int_X \sum_{n=2}^\infty x^{n-1} d\mu(x) \frac{z^n}{n}.$$

Now, let  $f(z) = z + \sum_{n=2}^\infty a_n z^n$ . Then  $a_n = \frac{2e^{-i\alpha} A}{n} \int_X x^{n-1} d\mu(x)$  and the result follows immediately.

Our further result will be based on the following theorem.

**Theorem 3.2.** *Let  $f \in \mathbf{G}(\alpha, \delta)$ . Then  $f'$  maps  $|z| \leq r$  into the disc  $D_r$  with center  $-e^{-i\alpha} (e^{-i\alpha} - 2\delta) + (2e^{-i\alpha} A)/(1 - r^2)$  and radius  $2Ar/(1 - r^2)$ .*

*Proof.* If  $a$  and  $b$  are complex numbers with  $|b| < 1$ , and if  $0 < r < 1$ , the range of the function  $(1 + ar\omega)/(1 + br\omega)$  ( $|\omega| \leq 1$ ) is the disc with center and radius

$$\frac{1 - a\bar{b}r^2}{1 - |b|^2 r^2}, \quad \frac{|a - b|r}{1 - |b|^2 r^2}$$

respectively. By taking  $a = (e^{-i2\alpha} - 2\delta e^{-i\alpha})xr$  and  $b = xr$  where  $|x| = 1$ , we see that

$$\frac{1 + (e^{-i2\alpha} - 2\delta e^{-i\alpha})xz}{1 - xz}$$

maps  $|z| \leq r$  onto  $D_r$ . By convexity, any linear combination of functions of this form also maps  $D$  onto  $D_r$ . Since for some probability measure  $\mu$ , we have

$$f'(z) = \int_x \frac{1 + (e^{-i2\alpha} - 2\delta e^{-i\alpha})xz}{1 - xz} d\mu(x),$$

the stated result now follows.

**Theorem 3.3.** *If  $f \in \mathbf{G}(\alpha, \delta)$ , then*

$$\frac{1 + r^2(2A(A + \delta) - 1) - 2rA}{1 - r^2} \leq \operatorname{Re} f'(z) \leq \frac{1 + r^2(2A(A + \delta) - 1) + 2rA}{1 - r^2} \quad (5)$$

and

$$\frac{-2Ar(1 + r\sqrt{1 - (A + \delta)^2})}{1 - r^2} \leq \operatorname{Im} f'(z) \leq \frac{2Ar(1 + r\sqrt{1 - (A + \delta)^2})}{1 - r^2}.$$

All bounds are sharp for any extreme point  $f$  of  $\mathbf{G}(\alpha, \delta)$ .

*Proof.* By Theorem 3.2, we can write

$$\left| f'(z) - \left\{ -e^{-i\alpha}(e^{-i\alpha} - 2\delta) + \frac{2e^{-i\alpha}A}{1 - r^2} \right\} \right| \leq \frac{2Ar}{1 - r^2} \quad (6)$$

so that

$$\frac{-2Ar}{1 - r^2} \leq \operatorname{Re} \left\{ f'(z) + e^{-i\alpha}(e^{-i\alpha} - 2\delta) - \frac{2e^{-i\alpha}A}{1 - r^2} \right\} \leq \frac{2Ar}{1 - r^2}$$

and also

$$\frac{-2Ar}{1 - r^2} \leq \operatorname{Im} \left\{ f'(z) + e^{-i\alpha}(e^{-i\alpha} - 2\delta) - \frac{2e^{-i\alpha}A}{1 - r^2} \right\} \leq \frac{2Ar}{1 - r^2}.$$

The results are obtained by simplifying the above inequalities.

We note that if  $f \in \mathbf{G}(\alpha, \delta)$ , then since  $f'_0(0) = 1$ , we have  $\operatorname{Re} f'(z) > 0$  for  $|z| < \rho$  and some  $\rho$  in  $(0, 1]$ . However if

$$f_o(z) = \frac{1 + (e^{-i2\alpha} - 2\delta e^{-i\alpha})z}{1 - z}, \quad (z \in D),$$

then the left side of inequality (5) is sharp so that

$$(1 - r^2) \operatorname{Re} f'_0(-r) = 1 + r^2(2A(A + \delta) - 1) - 2rA \rightarrow 2(\cos \alpha - \delta)(\cos \alpha - 1) \quad (r \rightarrow 1)$$

and the last expression is negative if  $|\alpha| \neq 0$ . This shows that  $\rho \neq 1$  in general, and it is natural to ask for the best possible value of  $\rho$ . We answer this question in the following application of Theorem 3.2

**Theorem 3.4.** *Let  $f \in g(\alpha, \delta)$  and put  $\rho = 1/(A + \sqrt{1 - A(2\delta + A)})$ . Then  $0 < \rho \leq 1$  and  $\operatorname{Re} f'(z) \geq 0$  for  $|z| < \rho$ . If  $\rho \leq r \leq 1$ , then  $\operatorname{Re} f'_0(z) < 0$  for some  $z$  on  $|z| < r$ .*

*Proof.* Let  $f \in \mathbf{G}(\alpha, \delta)$  and define  $\rho$  as above. Obviously  $\rho > 0$  since  $A > 0$ , and  $1 - A(2\delta + A) = 1 + \delta^2 - \cos \alpha \geq 0$ . The inequality  $\rho \leq 1$  is equivalent to  $A + \sqrt{1 - A(2\delta + A)} \geq 1$  and this is obviously true if  $A \geq 1$ . If  $A < 1$ , it is true if and only if  $1 - A(2\delta + A) \geq (1 - A)^2$ , and thus reduces to the trivially true inequality  $\cos \alpha \leq 1$ . So in both cases,  $\rho \leq 1$ .

Now, put  $\sigma(x) = (2A(A + \delta) - 1)x^2 - 2x + 1$  for real values of  $x$ . From (5), we have  $(1 - r^2) \operatorname{Re} f'(z) \geq \sigma(r)$  ( $0 \leq |z| = r < 1$ ) with equality for each  $r$  when  $f = f_o$  and  $z$  is a suitable value on  $|z| = r$ . To prove the theorem, it is sufficient to show that  $\sigma(x)$  is positive on  $[0, \rho)$  and non-positive on  $[\rho, 1]$ .

If  $2A(A + \delta) = 1$ , so that  $\sigma(x)$  is linear in  $x$ , then  $\rho = 1/(2A)$  and it is clear that  $\sigma(x)$  is positive on  $[0, \rho)$  and non-positive on  $[\rho, 1]$ . When  $2A(A + \delta) \neq 1$ ,  $\sigma(x)$  is quadratic and has zeros

$$x = \frac{A \pm \sqrt{1 - A(2\delta + A)}}{2A(A + \delta) - 1} = \frac{1}{A \mp \sqrt{1 - A(2\delta + A)}}. \quad (7)$$

One of the zeros is  $\rho$ . Let the other zero be  $\mu$ . If  $2A(A + \delta) < 1$ , then  $\mu\rho < 0$  and (7) shows that  $\mu < 0$  and  $\rho > 0$ . Since  $\sigma$  is concave,  $\sigma(x)$  is positive on  $[0, \rho)$  and

non-positive on  $[\rho, 1]$ . If  $2A(A + \delta) > 1$ , then  $\mu, \rho > 0$  since  $\mu\rho > 0$ ,  $\mu + \rho > 0$ . Also  $\rho < \mu$  by (5). In this case  $\sigma$  is convex so  $\sigma(x)$  is positive on  $[0, \rho]$  and non-positive on  $[\rho, \mu]$ . In particular, since  $\sigma(1) = 2A(\cos \alpha - 1) \leq 0$ ,  $\sigma(x)$  is non-positive on  $[\rho, 1]$ . This completes the proof.

We next obtain a distortion theorem for  $\mathbf{G}(\alpha, \delta)$ .

**Theorem 3.5.** *If  $f \in \mathbf{G}(\alpha, \delta)$ , then*

$$|f'(z)| \leq C(r) + \frac{2Ar}{1-r^2}$$

where

$$C(r) = \sqrt{\frac{4Ar^2}{1-r^2} \left( \frac{A}{1-r^2} + \delta \right)} + 1 \quad (8)$$

and the bound is sharp for any extreme point  $f$  of  $\mathbf{G}(\alpha, \delta)$ .

*Proof.* Let  $\Gamma(r) = -e^{-i\alpha}(e^{-i\alpha} - 2\delta) + \frac{2e^{-i\alpha}A}{1-r^2}$ . By using (6) we have

$$\begin{aligned} |f'(z)| &\leq |\Gamma(r)| + \frac{2Ar}{1-r^2} \\ &= C(r) + \frac{2Ar}{1-r^2} \end{aligned}$$

as required.

#### 4. Argument of $f'(z)$

We see that if  $\delta \geq 0$ , then  $f'$  is non-zero throughout  $D$ , and has continuous argument. But if  $\delta < 0$ , and if  $f_o$  is any extreme function of  $\mathbf{G}(\alpha, \delta)$ , then at some point of  $D$ ,  $f'_o$  has a zero and hence no argument. So to obtain result for argument of  $f'$ , we restrict the values of  $|z|$  considered in the case  $\delta < 0$ . We will also use the following property for argument: for a given  $\alpha$  in  $[-\pi, \pi]$  and as  $x$  varies in some interval  $[0, c]$ , so that  $e^{i\alpha} + x \neq 0$ ,  $\phi_\alpha(x)$  is the continuous argument of  $e^{i\alpha} + x$ , for which  $\phi_\alpha(0) = \alpha$ . We have

$$\phi_\alpha(x) = \begin{cases} \tan^{-1}\left(\frac{\sin \alpha}{\cos \alpha + x}\right) & , \text{ if } x + \cos \alpha > 0 \\ \pi + \tan^{-1}\left(\frac{\sin \alpha}{\cos \alpha + x}\right) & , \text{ if } x + \cos \alpha < 0 \\ \pi/2 & , \text{ if } x + \cos \alpha = 0 \end{cases}$$

when  $0 < \alpha < \pi$ , and similar formulae for the case  $-\pi < \alpha < 0$ ,  $\alpha = 0, \pm\pi$ .

**Theorem 4.1.** Let  $f \in \mathbf{G}(\alpha, \delta)$ , and put  $x(r) = 2Ar^2/(1-r^2)$  ( $0 \leq r < 1$ ). Let

$$r_o = \begin{cases} 1 & , \delta \geq 0 \\ \frac{1}{\sqrt{1-4A\delta}} & , \delta < 0. \end{cases}$$

Then, for  $0 < |z| = r < r_o$ , and for suitable determination of argument

$$\left| \arg f'(z) + \alpha - \phi_\alpha(x(r)) \right| \leq \sin^{-1} \frac{2Ar}{(1-r^2)C(r)} \tag{9}$$

where  $\phi_\alpha(x)$  is defined on  $[0, x(r_o))$  as above and  $C(r)$  is given by (8).

*Proof.* We restrict the value of  $|z| = r$  by the condition

$$\left| \frac{2A}{1-r^2} + 2\delta - e^{-i\alpha} \right| > \frac{2Ar}{1-r^2}$$

to ensure that  $f'(z) \neq 0$ . Squaring both sides and simplifying, we have

$$\frac{4A\delta}{1-r^2} - 4A\delta + 1 > 0.$$

The inequality holds for all  $r$  in  $[0, 1)$  if  $\delta \geq 0$  and for  $0 \leq r < 1/\sqrt{1-4\delta A}$  if  $\delta < 0$ .

This establishes the restriction on  $|z|$ . By using (6) and Theorem 3.5, we deduce that

$$\left| \arg f'(z) - \arg \Gamma(r) \right| \leq \sin^{-1} \frac{2Ar}{(1-r^2)C(r)} \tag{10}$$

and also

$$\begin{aligned}\arg \Gamma(r) &= \arg \left[ -e^{-i\alpha} (e^{-i\alpha} - 2\delta) + \frac{2e^{-i\alpha} A}{1-r^2} \right] \\ &= -\alpha + \arg \left[ e^{i\alpha} + \frac{2Ar^2}{1-r^2} \right].\end{aligned}$$

Put  $x(r) = 2Ar^2 / (1-r^2)$ , then  $\arg \Gamma(r) = -\alpha + \phi_\alpha(x(r))$  and the desired result follows using (10).

We obtain another result for argument of  $\mathbf{G}(\alpha, \delta)$ , features  $\arg(f'(z) + k)$  for some real  $k$  that satisfy  $f'(z) + k \neq 0$  for  $z \in D$  and for all  $f \in \mathbf{G}(\alpha, \delta)$ . When  $|\alpha| = \pi/2$ , such a choice is impossible, for if  $f_o$  is an extreme function in  $\mathbf{G}(\alpha, \delta)$ , then  $f'_o(z) + k$  maps  $D$  onto either  $\text{Im } w > \delta$  or  $\text{Im } w < -\delta$  and since  $\delta < 0$  both these half planes contain 0. If  $|\alpha| \neq \pi/2$ , any choice of  $k$  with  $k \cos \alpha + \delta > 0$  ensures that  $f'_o(z) + k \neq 0$  for  $z \in D$ ,  $f \in \mathbf{G}(\alpha, \delta)$ .

In the statement of the following theorem, for a given  $\alpha \in [-\pi, \pi]$ , and as  $x$  varies in some interval  $[0, c)$ , so that  $(k+1)e^{i\alpha} + x \neq 0$ ,  $\psi_\alpha(\alpha)$  is the continuous argument of  $(k+1)e^{i\alpha} + x$  for which  $\psi_\alpha(0)$  is principal.

**Theorem 4.2.** *Let  $f \in \mathbf{G}(\alpha, \delta)$ , where  $|\alpha| \neq \pi/2$ . Put  $x(r) = 2A/(1-r^2)$  ( $0 \leq r < 1$ ) and let  $k$  be a real number such that  $k \cos \alpha + \delta > 0$ . Then*

$$\left| \arg(f'(z) + k) + \alpha - \psi_\alpha(x(r)) \right| \leq \sin^{-1} \frac{2Ar}{(1-r^2)C_1(r)}$$

where  $\psi_\alpha(\alpha)$  is defined on  $[0, \infty)$  as above, and

$$C_1(r) = \sqrt{\frac{4Ar^2}{1-r^2} \left( \frac{A}{1-r^2} + k \cos \alpha + \delta \right) + (k+1)^2}. \quad (11)$$

*Proof.* Let  $|\alpha| \neq \pi/2$ , and let  $k$  satisfy  $k \cos \alpha + \delta > 0$ . We have, using (6),

$$\left| f'(z) + k - (\Gamma(r) + k) \right| \leq \frac{2Ar}{1-r^2}$$



where

$$\Gamma(r) = -e^{-i\alpha}(e^{-i\alpha} - 2\delta) + \frac{2e^{-i\alpha}A}{1-r^2} = 1 + \frac{2Ar^2}{1-r^2}e^{-i\alpha}.$$

Hence

$$\left| \arg(f'(z) + k) - \arg(\Gamma(r) + k) \right| \leq \sin^{-1} \frac{2Ar}{(1-r^2)C_1(r)} \quad (12)$$

where  $C_1(r) = |\Gamma(r) + k|$  and is written as in (11). Now

$$\arg(\Gamma(r) + k) = -\alpha + \arg \left[ 2\delta - e^{-i\alpha} + \frac{2A}{1-r^2} + ke^{i\alpha} \right] = -\alpha + \psi_\alpha(x(r))$$

and the proof is complete by using (12).

## References

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