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## Bohr's phenomenon for analytic functions into the exterior of a compact convex body <sup>☆</sup>

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### ABSTRACT

Bohr's inequality for the class of analytic functions mapping the unit disk into the exterior of a compact convex body is established. In this general case, the radius obtained is  $|z| < 3 - 2\sqrt{2}$ . When the compact convex body is the closed unit disk, a sharp radius of  $1/3$  is obtained.

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### 1. Introduction

Bohr's inequality states that if

$$f(z) = \sum_{n=0}^{\infty} a_n z^n$$

is analytic in the unit disk  $U$  and  $|f(z)| < 1$  for all  $z \in U$ , then

$$\sum_{n=0}^{\infty} |a_n z^n| \leq 1 \tag{1.1}$$

for all  $z \in U$  with  $|z| \leq 1/3$ . This inequality was discovered by Bohr [7] in 1914. Bohr actually obtained the inequality for  $|z| \leq 1/6$ . Wiener, Riesz and Schur, independently established the inequality for  $|z| \leq 1/3$  and showed that the bound  $1/3$  was sharp [10,15,16]. Other proofs were also given in [11–13]. Boas and Khavinson [6], and more recently Aizenberg [3–5] extended the inequality to several complex variables.

Bohr's inequality drew the attention of operator algebraists after Dixon [8] showed a connection between the inequality and the characterization of Banach algebras that satisfy von Neumann's inequality. Specifically, by using Bohr's inequality, Dixon constructed an example of a Banach algebra that satisfies von Neumann's inequality but is not isomorphic to the algebra of bounded operators on a Hilbert space. Paulsen and Singh [11] extended Bohr's inequality to Banach algebras.

A class of analytic (harmonic) functions in the unit disk  $U$  is said to satisfy Bohr's phenomenon if an inequality of type (1.1) holds uniformly in  $|z| < \rho_0$ , for some  $0 < \rho_0 \leq 1$ , and for all functions in the class.

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In this article, we shall consider the space of functions subordinated to a given analytic function. For definition and details of subordination classes, see for example [9, Chapter 6] or [14, p. 35].

Let  $f$  and  $g$  be two analytic functions in the unit disk  $U$ . A function  $g$  is subordinate to  $f$  if there exists a Schwarz function  $\varphi$ , analytic in  $U$  with  $\varphi(0) = 0$  and  $|\varphi(z)| < 1$ , satisfying  $g = f \circ \varphi$ . In particular, when  $f$  is univalent,  $g$  is subordinate to  $f$  when  $g(U) \subset f(U)$  and  $g(0) = f(0)$  ([9, p. 190], [14, p. 35]). Consequently, when  $g$  is subordinate to  $f$ , then  $|g'(0)| \leq |f'(0)|$ .

In this sequel the class of all functions  $g$  subordinate to a fixed function  $f$  is denoted by  $S(f)$  and  $f(U) = \Omega$ . The class  $S(f)$  is said to satisfy Bohr's phenomenon if for any  $g(z) = \sum_{n=0}^{\infty} b_n z^n \in S(f)$  and  $f(z) = \sum_{n=0}^{\infty} a_n z^n$ , there is a  $\rho_0$ ,  $0 < \rho_0 \leq 1$ , so that

$$\sum_{n=1}^{\infty} |b_n z^n| \leq d(f(0), \partial\Omega) \tag{1.2}$$

for  $|z| < \rho_0$ . Here  $d(f(0), \partial\Omega)$  denotes the Euclidean distance between  $f(0)$  and the boundary of a domain  $\Omega$ . Obviously, when  $\Omega = U$ ,  $d(f(0), \partial\Omega) = 1 - |f(0)|$  and in this case (1.2) reduces to (1.1).

It is known that  $S(f)$  has Bohr's phenomenon when  $f$  is univalent. Abu-Muhanna [2] recently showed that every  $g(z) = \sum_{n=0}^{\infty} b_n z^n \in S(f)$  satisfies (1.2) for  $|z| \leq \rho_0 = 3 - 2\sqrt{2} \cong 0.17157$ . The radius  $\rho_0$  is sharp for the Koebe function  $f(z) = z/(1 - z)^2$ .

In particular, when  $f$  is convex, it was shown in [5] that (1.2) remains valid for  $\rho_0 = 1/3$ , a result which includes (1.1) when  $\Omega = U$ .

In this article, we shall consider the case when  $\Omega$  is the exterior of a compact convex body, and  $F_{\Omega}$  is the class of all analytic functions mapping  $U$  into  $\Omega$ . The measure that will be used in this instance is the spherical chordal measure given by

$$\lambda(z_1, z_2) = \frac{|z_1 - z_2|}{\sqrt{1 + |z_1|^2} \sqrt{1 + |z_2|^2}}.$$

When  $\Omega$  is the exterior of the closed unit disk  $U$ , it is shown in Theorem 2.1 that (1.2) remains valid with  $d(f(0), \partial\Omega)$  replaced by  $\lambda(f(0), \partial\Omega)$  and  $\rho_0 = 1/3$ . This radius  $\rho_0$  obtained is sharp. In the general situation when  $\Omega$  is the exterior of a compact convex body, it is shown in Theorem 2.2 that (1.2) holds with  $d(f(0), \partial\Omega)$  replaced by  $\lambda(f(0), \partial\Omega)$  and  $\rho_0 = 3 - 2\sqrt{2}$ . However, the  $\rho_0$  obtained may not be sharp.

We shall require the following results.

**Proposition 1.1.** (See [1].) *If  $F$  is an analytic univalent function mapping the unit disk  $U$  onto  $\Omega$ , where the complement of  $\Omega$  is convex, and  $F(z) \neq 0$ , then any analytic function  $f \in S(F^n)$ ,  $n = 1, 2, \dots$ , can be expressed as*

$$f(z) = \int_{|x|=1} F^n(xz) d\mu(x),$$

for some probability measure  $\mu$  on the unit circle  $|x| = 1$ . Consequently,

$$f(z) = \int_{|x|=1} \exp(F(xz)) d\mu(x), \tag{1.3}$$

for every  $f \in S(\exp(F))$ .

We shall also require the Koebe one-quarter distortion inequalities

$$1 \geq d(0, \partial\Omega) \geq \frac{1}{4} \tag{1.4}$$

when  $f$  is univalent and normalized by  $f(0) = 0$  and  $f'(0) = 1$ , see for example [9, pp. 32, 45] or [14, pp. 21–22].

## 2. Subordination to the complement of a compact convex body

First we consider the case when  $\Omega = c\bar{U}$ , where  $c\bar{U}$  denotes the complement of  $\bar{U}$ . Then any universal covering map is given by

$$\exp\left(\frac{1 + \varphi(z)}{1 - \varphi(z)}\right),$$

where  $\varphi(z) = (z + a)/(1 - \bar{a}z)$  is a Möbius transformation.

In this case  $F_{\Omega}$  consists of all analytic functions mapping the unit disk  $U$  into  $|w| > 1$ . Here is the main result, which generalizes Bohr's theorem from the interior of the disk  $U$  to its exterior.

**Theorem 2.1.** If  $\Omega = c\bar{U} = \{w : |w| > 1\}$  and  $f(z) = a_0 + \sum_{n=1}^{\infty} a_n z^n \in F_{\Omega}$ , then

$$\lambda\left(\sum_{n=0}^{\infty} |a_n||z|^n, |a_0|\right) \leq \lambda(a_0, \partial\Omega)$$

for  $|z| \leq 1/3$ . Moreover, the bound  $1/3$  is sharp.

As a preliminary to the proof, the class  $F_{c\bar{U}}$  is shown to be the union of subordination classes.

**Proposition 2.1.** Any  $f \in F_{c\bar{U}}$  is subordinate to some universal covering map  $G : U \rightarrow c\bar{U}$ , with  $f(0) = G(0) = a_0$ . In other words,  $f = G \circ \varphi$ , where  $\varphi$  is analytic in  $U$ ,  $|\varphi(z)| < 1$  and  $\varphi(0) = 0$ .

**Proof.** Since  $\operatorname{Re} \log f(z) > 0$  in  $U$ , it is clear that  $\log f$  maps  $U$  into the right-half plane. Let

$$b = \frac{\log a_0 - 1}{\log a_0 + 1}$$

and

$$\psi(z) = \frac{z + b}{1 + \bar{b}z}.$$

Then the function

$$W(z) = \frac{1 + \psi(z)}{1 - \psi(z)} \tag{2.1}$$

maps  $|z| < 1$  univalently into the right-half plane with  $W(0) = \log a_0$ . Thus

$$\log f = W \circ \varphi$$

for some analytic  $\varphi$  in  $U$ ,  $|\varphi(z)| < 1$  and  $\varphi(0) = 0$ . The result now follows by letting

$$G(z) = \exp(W(z)). \quad \square \tag{2.2}$$

Here now is the proof of Theorem 2.1.

**Proof of Theorem 2.1.** Let  $G$  be as given in (2.1) and (2.2), and  $f$  be subordinate to  $G$ . Write

$$G(z) = a_0 \left(1 + \sum_{n=1}^{\infty} B_n z^n\right),$$

$$f(z) = a_0 + \sum_{n=1}^{\infty} a_n z^n.$$

Then

$$W(z) = \frac{1 + \psi(z)}{1 - \psi(z)} = (\operatorname{Re} \log a_0) \left(\frac{1 + z}{1 - z}\right) + i \operatorname{Im} \log a_0 = \log a_0 + \frac{\log |a_0|^2 z}{1 - z},$$

and

$$G(z) = a_0 \left(1 + \sum_{n=1}^{\infty} B_n z^n\right) = a_0 \exp\left(\frac{\log |a_0|^2 z}{1 - z}\right). \tag{2.3}$$

It follows from (2.2) and (1.3) that

$$|a_n| \leq |a_0 B_n|, \quad \text{for all } n \geq 1,$$

and

$$\sum_{n=1}^{\infty} |a_n||z|^n \leq |a_0| \sum_{n=1}^{\infty} |B_n||z|^n. \tag{2.4}$$

Now

$$|a_0 B_1| = |G'(0)| = |a_0| |W'(0)| = 2|a_0| \frac{1 - |b|^2}{|1 - b|^2} = 2|a_0| (\operatorname{Re} \log a_0) = |a_0| \log |a_0|^2.$$

Next, we show that the sequence  $B_n$  is positive and increasing. It follows from (2.3) that

$$\begin{aligned} B_1 &= \log |a_0|^2 > 0, \\ B_2 &= \frac{\log |a_0|^2}{2} (B_1 + 2) = \log |a_0|^2 \left( \frac{1}{2} B_1 + 1 \right) = \frac{1}{2} B_1^2 + B_1 > B_1. \end{aligned} \tag{2.5}$$

Differentiating  $G$  in (2.3) yields

$$G'(z) = \frac{\log |a_0|^2}{(1 - z)^2} G(z).$$

Hence

$$(1 - 2z + z^2)G'(z) = \log |a_0|^2 G(z).$$

This gives the recurrence relation

$$B_{n+1} = \frac{\log |a_0|^2 + 2n}{n + 1} B_n - \frac{n - 1}{n + 1} B_{n-1} = \left( 2 + \frac{\log |a_0|^2 - 2}{n + 1} \right) B_n - \frac{n - 1}{n + 1} B_{n-1}. \tag{2.6}$$

Clearly (2.5) shows that  $B_2 > B_1$ . Assuming that  $B_n > B_{n-1}$ , it follows now from (2.6) that

$$B_{n+1} - B_n = \left( 1 + \frac{\log |a_0|^2 - 2}{n + 1} \right) B_n - \frac{n - 1}{n + 1} B_{n-1} = \frac{\log |a_0|^2}{n + 1} B_n + \frac{n - 1}{n + 1} (B_n - B_{n-1}) > 0.$$

Hence the sequence  $B_n$  is increasing. Consequently, (2.4) implies that, for  $|z| \leq \rho$ ,

$$\sum_{n=0}^{\infty} |a_n| |z|^n \leq |a_0| \sum_{n=0}^{\infty} B_n \rho^n = |a_0| \exp \left[ \frac{\log |a_0|^2 \rho}{1 - \rho} \right] = |a_0| |a_0|^{\frac{2\rho}{1-\rho}}. \tag{2.7}$$

When  $\rho = 1/3$ , then

$$\sum_{n=0}^{\infty} |a_n| |z|^n \leq |a_0|^2. \tag{2.8}$$

Simple calculation shows that

$$\frac{\lambda(|a_0|, |a_0^2|)}{\lambda(|a_0|, 1)} = \frac{\sqrt{2}|a_0|}{\sqrt{1 + |a_0^4|}} < 1, \tag{2.9}$$

and consequently, it follows from (2.8) and (2.9) that

$$\lambda \left( \sum_{n=0}^{\infty} |a_n| |z|^n, |a_0| \right) \leq \lambda(|a_0|, |a_0^2|) \leq \lambda(|a_0|, 1) = \lambda(a_0, \partial\Omega).$$

For sharpness, assume that  $\rho > 1/3$ . Then by (2.3) and (2.7),

$$|G(\rho)| = |a_0| \sum_{n=0}^{\infty} B_n \rho^n = |a_0| |a_0|^{\frac{2\rho}{1-\rho}} = |a_0|^{\frac{1+\rho}{1-\rho}}.$$

Note that  $\frac{1+\rho}{1-\rho} = 2 + \delta$  with  $\delta > 0$ , and  $\frac{1+\rho}{1-\rho} \rightarrow 2$  as  $\rho \rightarrow \frac{1}{3}$ . Also note that  $\frac{|a_0|^{\frac{2\rho}{1-\rho}} - 1}{|a_0| - 1} \rightarrow \frac{2\rho}{1-\rho} = 1 + \delta$  as  $|a_0| \rightarrow 1$ . Hence

$$\frac{\lambda(|a_0|, |a_0|^{\frac{1+\rho}{1-\rho}})}{\lambda(|a_0|, 1)} = \frac{\sqrt{2}|a_0| \frac{|a_0|^{\frac{2\rho}{1-\rho}} - 1}{|a_0| - 1}}{\sqrt{1 + |a_0|^{4+2\delta}}} \rightarrow (1 + \delta)$$

as  $|a_0| \rightarrow 1$ . Consequently, for  $|a_0|$  close to 1,

$$\lambda \left( |a_0| \sum_{n=0}^{\infty} B_n |z|^n, |a_0| \right) = \lambda(|a_0|, |a_0|^{\frac{1+\rho}{1-\rho}}) > \lambda(|a_0|, 1) = \lambda(a_0, \partial\Omega). \quad \square$$

The theorem below gives a result under a more general setting than Theorem 2.1.

**Theorem 2.2.** Let  $\Delta$  be a compact convex body with  $0 \in \Delta$ ,  $1 \in \partial\Delta$ , and  $\Omega = c\Delta$ . Suppose the universal covering map from  $U$  into  $\Omega$  has a univalent logarithmic branch that maps  $U$  into the complement of a convex set. If  $f(z) = a_0 + \sum_{n=1}^{\infty} a_n z^n \in F_{\Omega}$  satisfies  $a_0 > 1$ , then for  $|z| < 3 - 2\sqrt{2} \cong 0.17157$ ,

$$\lambda\left(\sum_{n=0}^{\infty} |a_n| |z|^n, |a_0|\right) \leq \lambda(a_0, \partial\Omega).$$

**Proof.** Let  $F$  be the universal covering map from  $U$  onto  $\Omega$  with  $F(0) = a_0$ . Let  $G(z) = \log F(z)$  be its univalent logarithmic branch. Then

$$F(z) = \exp G(z),$$

$$a_0 + \sum_{n=1}^{\infty} A_n z^n = \exp\left(\log a_0 + \sum_{n=1}^{\infty} c_n z^n\right).$$

As  $G$  is univalent,

$$\frac{G(z) - \log a_0}{c_1} = g \in S,$$

where  $S$  is the class consisting of normalized analytic univalent functions in  $U$ . For  $|z| \leq \rho$ , it follows from comparing coefficients that

$$|a_0| + \sum_{n=1}^{\infty} |A_n| \rho^n \leq |a_0| \exp\left(\sum_{n=1}^{\infty} |c_n| \rho^n\right).$$

Further, since  $g \in S$ , then  $|c_n| \leq n|c_1|$  for each  $n$ , and

$$\sum_{n=1}^{\infty} |c_n| \rho^n \leq |c_1| \frac{\rho}{(1-\rho)^2}.$$

Hence for  $|z| \leq \rho$ , it follows that

$$|a_0| + \sum_{n=1}^{\infty} |A_n z^n| \leq |a_0| \exp\left(\sum_{n=1}^{\infty} |c_n| |z|^n\right) \leq |a_0| \exp\left(|c_1| \frac{\rho}{(1-\rho)^2}\right). \tag{2.10}$$

Since  $0 \notin G(U)$ , then  $-\log a_0/c_1 \notin g(U)$ . Thus the Koebe one-quarter distortion result (1.4) implies that

$$|c_1| \leq 4|\log a_0|,$$

and (2.10) yields

$$|a_0| + \sum_{n=1}^{\infty} |A_n z^n| \leq |a_0| \exp\left(4|\log a_0| \frac{\rho}{(1-\rho)^2}\right).$$

If  $a_0 > 1$ , then

$$|a_0| + \sum_{n=1}^{\infty} |A_n z^n| \leq |a_0|^{1+\frac{4\rho}{(1-\rho)^2}}. \tag{2.11}$$

Simple calculations show that when  $\rho \leq 3 - 2\sqrt{2}$ , then  $4\rho/(1-\rho)^2 \leq 1$ . Hence (2.11) becomes

$$|a_0| + \sum_{n=1}^{\infty} |A_n z^n| \leq |a_0|^2,$$

and (1.3) yields

$$\lambda\left(\sum_{n=0}^{\infty} |a_n| |z|^n, |a_0|\right) \leq \lambda(|a_0|, |a_0|^2) \leq \lambda(|a_0|, 1) = \lambda(a_0, \partial\Omega). \quad \square$$

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